

**ANNUAL REPORT
OF THE
MINNESOTA
CLIENT SECURITY BOARD**

**NANCY L. HELMICH
CHAIR**

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July 2021

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I. YEAR IN REVIEW.

Rule 1.10, Rules of the Minnesota Client Security Board, provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the Fund, its operation, its assets and liabilities.

This thirty-fourth annual report of the Minnesota Client Security Board covers the Board’s fiscal year, FY2021, which began July 1, 2020, and ended on June 30, 2021.

The Client Security Board approved seven (7) claims this year involving two (2) attorneys, in the total amount of \$29,176.38 (see Appendix 1, A. 1-2). These claims involved the following attorneys:

| <u>Attorney</u> | <u>No. of Claims</u> | <u>Amount</u> |
|--------------------------|----------------------|---------------|
| Terrence Patrick Duggins | 6 | \$27,300.00 |
| Steven B. Szarke | 1 | \$1,876.38 |

Thirteen (13) new claims were filed this fiscal year. Twelve (12) claims were carried over from the previous year. In addition to the seven (7) claims which were approved for payment, sixteen (16) claims were denied. At the end of June 2021, seven (7) claims against five (5) different lawyers remain pending before the Board. In most instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after 34 years of approving claims, the Board has now approved payment of \$8,644,790.05 on 693 claims against 193 lawyers (see Appendix 4, A. 6-12).

The Fund continues to be fiscally healthy.

II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

Board Members. As of June 30, 2021, the following individuals served on the Board (see Appendix 3, A. 4-5):

| <u>Name</u> | <u>City</u> | <u>Term Expires</u> |
|-------------------------|-------------|-----------------------------|
| Nancy L. Helmich, Chair | Minneapolis | June 30, 2022 (second term) |
| Timothy H. Baland | Anoka | June 30, 2021 (first term) |
| Sharon G. Hobbs | Sartell | June 30, 2021 (first term) |
| Paul J. Lehman | Minnetonka | June 30, 2023 (second term) |
| Cheryl M. Prince | Duluth | June 30, 2023 (first term) |
| Nathan B. Shepherd | Minneapolis | June 30, 2022 (first term) |
| Robert P. Webber | Minneapolis | June 30, 2023 (first term) |

Nancy L. Helmich, a public member from Minneapolis, Minnesota, served as the Board's Chair for the past year and was elected to a second term as Board Chair at the June 21, 2021, fiscal year-end meeting. Ms. Helmich is the first public member in the Fund's history to serve as Chair. Robert P. Webber, a MSBA nominee, was appointed to the Board during the current fiscal year. Sharon Hobbs concluded her first term on June 30, 2021, and decided against a second term.

The MSBA nominates three of the five attorney members. Mr. Baland, Mr. Shepherd and Mr. Webber serve as the MSBA nominees. Ms. Helmich and Mr. Lehman remain as the Board's current public members. The Board's liaison justice from the Court is Justice Natalie Hudson, who succeeded Justice David L. Lillehaug following his retirement from the Court effective July 31, 2020.

Funding and Budget Procedures. Beginning in FY20, the \$6 lawyer registration fee previously allocated to the CSB was reallocated to the Lawyers Professional Responsibility Board. The CSB allocation had been reduced in 2017 as well. In 1998, the Supreme Court recommended an informal range for the balance of the Fund of \$1.5 million and \$2.5 million, with the Board to report to the Court if the Fund falls or is projected to fall outside of these figures. The Board projects a fiscal year-end balance of approximately \$4 million in June 2021. This is above the Court's recommended parameters and has been reported to the Court. In June 2019, the Court approved a transfer of up to \$1 million to the Lawyers Professional Responsibility Board (LPRB) to

shore up depleted LPRB reserves and in order to avoid the need to raise lawyer registration fees. These funds were not needed in the FY20-21 biennium; however, the Court anticipates and has approved a transfer of \$1.5 million to the LPRB in FY22.

The Board does not directly handle any funds or the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. The funds receive interest but due to falling interest rates, interest income has significantly declined year over year.

Based upon the information presently available to the Board concerning pending claims or known potential claims as well as historical budgeting practices, the Board budgeted \$350,000 for claim payments next year. The Board has budgeted \$83,500 for all non-claim expenses, including staff services, supplies, and representation at national client protection conferences. Also included in this amount is funding for a new website, which has been deferred for several years but should be completed in FY22. In most years, the Board has spent less than the budgeted amount on non-claim expense items. (Appendix 2, A. 3.)

Administration. The Office of Lawyers Professional Responsibility provides staff services to the Client Security Board. Susan M. Humiston continues to serve as Director of the Client Security Board, and was reappointed to this position in 2020. With the assistance of the Director, paralegal Julie Staum handles the day-to-day operations of the Fund including contact with respondents and claimants and investigation of claims. Office of Lawyers Professional Responsibility staff also provide administrative and bookkeeping services to the Board at no cost. With the OLPR's move to a new space, the Client Security Board's office also moved to a new location in downtown St. Paul.

Following each meeting, the Board issues a press release regarding claims approved pursuant to the Board's policy. The press releases are also posted on the Board's website to provide public information about the Board's activities at <http://csb.mncourts.gov>. The website also contains answers to frequently asked questions (FAQs), the Board's rules, the claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 6-12). The website also has links to articles written about the Client Security Board. As noted, the website is scheduled for a complete update as it is not mobile friendly.

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys where claims have been paid, or against any third persons from whom payments may be legally obtained. Assistant Attorney General Scott Grosskreutz represents the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection efforts and litigation. Where appropriate, the Attorney General also assists the Board in being listed as a victim in criminal restitution orders. The Board thanks the Minnesota Attorney General for its excellent representation of the Board.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are referred to MDOR.

Claims Procedure. Claims are initiated by the submission of a claim to the Director's Office on forms approved by the Board. The forms are provided to the

claimant along with a brochure which explains the process. The claim form, brochure and copies of the Board's rules are also available via the Board's website. The claim form and brochure are available in English, Spanish, Hmong, Karen and Somali, and may be translated to other languages as necessary.

After a claim is submitted, the respondent attorney is provided an opportunity to respond in writing. The Board also has access to all lawyer disciplinary files, from which considerable information may be obtained.

Generally, attorney disciplinary proceedings against the respondent attorney will have been completed before any Client Security Fund payment is made. The Board relies heavily, although not exclusively, upon findings made in related lawyer disciplinary actions, or in related civil or criminal cases, whenever possible. The Board has no aggregate limit on the amount that may be paid per lawyer. The maximum payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.

If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

III. GOALS AND OBJECTIVES

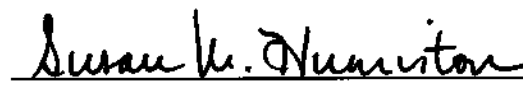
No major funding issues are anticipated this coming year, even considering reallocation (at a date as yet to be determined) of a large portion of the funding reserve. The Board has experienced members who continue to provide sound leadership, and the amount of funds in reserve appears to be sufficient to provide a generous pool from which qualifying claims can be satisfied. The Board has successfully caught up on its claims, with the claims that remain pending being new claims, or claims where related

disciplinary proceedings are pending. With claims processing current, the Board continues to monitor the efficacy of collection efforts against lawyers owing money to the Fund. With the website refresh, the Board plans to take the opportunity to refresh outward facing materials to ensure clarity of communications with the public regarding the purposes and availability of the Fund. The Board is also considering potential rule changes to ensure the rules fully facilitate the purpose of the Fund and represent best practices nationally.

Respectfully submitted,



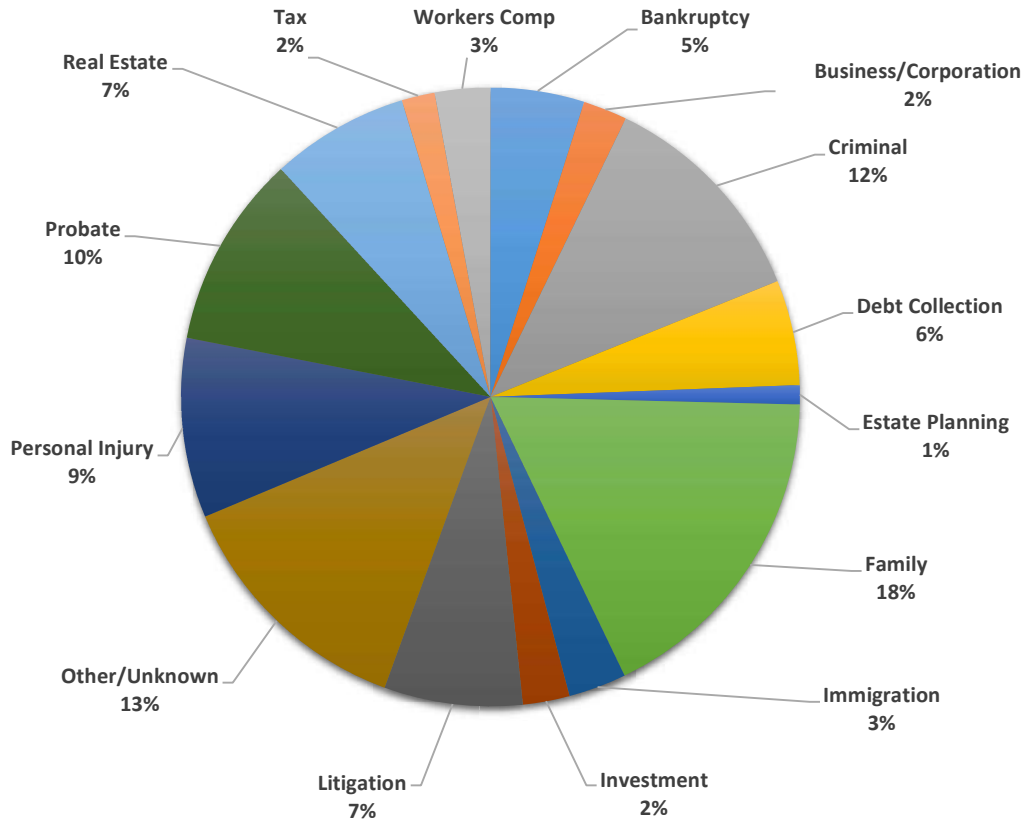
NANCY L. HELMICH, CHAIR
MINNESOTA CLIENT SECURITY BOARD



SUSAN M. HUMISTON, DIRECTOR
MINNESOTA CLIENT SECURITY BOARD

Awards of Reimbursement - July 1 1987, through June 30, 2021

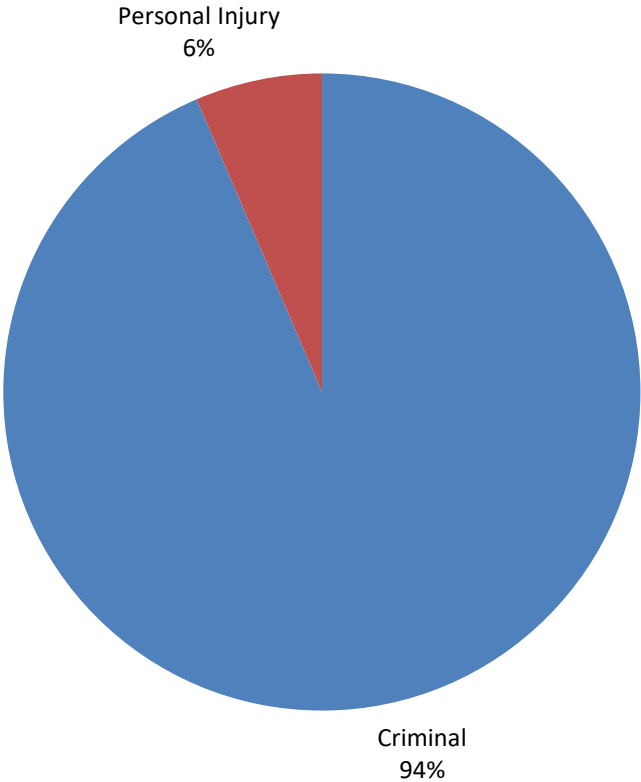
This table summarizes, by area of law, all claims for reimbursement approved by the Board since 1987.



| Area of Law | Sum of Awards | Amount of Awards |
|----------------------|---------------|-----------------------|
| Bankruptcy | 34 | \$160,727.61 |
| Business/Corporation | 16 | \$253,107.40 |
| Criminal | 81 | \$385,156.48 |
| Debt Collection | 38 | \$232,607.30 |
| Estate Planning | 7 | \$84,951.68 |
| Family | 121 | \$605,500.85 |
| Immigration | 21 | \$41,490.00 |
| Investment | 17 | \$1,154,609.47 |
| Litigation | 50 | \$470,149.42 |
| Other/Unknown | 91 | \$610,505.27 |
| Personal Injury | 65 | \$818,871.96 |
| Probate | 70 | \$2,471,273.01 |
| Real Estate | 50 | \$835,162.62 |
| Tax | 12 | \$51,312.28 |
| Workers Comp | 20 | \$469,364.70 |
| Grand Total | 693 | \$8,644,790.05 |

Awards of Reimbursement - July 1 2020, through June 30, 2021

This table summarizes, by area of law, all claims for reimbursement approved by the Board during fiscal year 2021.



| Area of Law | Sum of Awards | Amount of Awards |
|--------------------|---------------|--------------------|
| Criminal | 6 | \$27,300.00 |
| Personal Injury | 1 | \$1,876.38 |
| Grand Total | 7 | \$29,176.38 |

CLIENT SECURITY FUND FINANCIAL HISTORY

| Fiscal Year | Contribution by Bar | Investment Income | Restitution | Number of Claims Paid | Amt. Paid to Claimants | Other Expenses | Balance Year End |
|--------------------------|----------------------------|--------------------------|--------------------|------------------------------|-------------------------------|-----------------------|-------------------------|
| 2004 | \$252,034 | \$32,351 | \$87,177 | 31 | \$759,150 | \$68,486 | \$2,347,184 |
| 2005 | \$253,728 | \$53,390 | \$31,018 | 7 | \$195,025 | \$37,885 | \$2,452,410 |
| 2006 | \$255,894 | \$110,597 | \$87,217 | 17 | \$234,848 | \$44,375 | \$2,626,895 |
| 2007 | \$288,725 | \$148,689 | \$73,744 | 2 | \$135,417 | \$52,996 | \$2,949,637 |
| 2008 | \$320,403 | \$147,062 | \$135,226 | 9 | \$168,905 | \$63,134 | \$3,320,289 |
| 2009 | \$49,419 | \$90,905 | \$128,285 | 15 | \$120,656 | \$47,736 | \$3,420,506 |
| 2010 | \$15,976 | \$30,574 | \$68,558 | 4 | \$305,500 | \$46,854 | \$3,183,261 |
| 2011 | \$353,085 | \$18,996 | \$34,977 | 23 | \$58,156 | \$55,038 | \$3,477,125 |
| 2012 | \$330,519 | \$18,786 | \$62,523 | 21 | \$117,620 | \$56,108 | \$3,715,293 |
| 2013 | \$345,109 | \$20,016 | \$31,401 | 27 | \$383,002 | \$37,810 | \$3,691,007 |
| 2014 | \$354,256 | \$18,050 | \$37,951 | 18 | \$383,712 | \$61,898 | \$3,655,654 |
| 2015 | \$351,384 | \$19,199 | \$55,427 | 12 | \$137,786 | \$91,151 | \$3,852,727 |
| 2016 | \$355,992 | \$27,033 | \$43,441 | 11 | \$53,516 | \$67,526 | \$4,160,401 |
| 2017 | \$350,556 | \$42,083 | \$40,058 | 66 | \$414,721 | \$62,333 | \$4,119,044 |
| 2018 | \$178,275 | \$62,380 | \$31,514 | 29 | \$363,564 | \$53,660 | \$3,976,990 |
| 2019 | \$179,292 | \$91,139 | \$73,685 | 7 | \$389,645 | \$32,523 | \$3,898,938 |
| 2020 | \$10,020 | \$68,371 | \$44,840 | 5 | \$21,500 | \$19,932 | \$3,980,737 |
| 2021 (thru 6/29/2021) | \$2,264 | \$16,110 | \$25,360 | 7 | \$29,176 | \$16,388 | \$3,978,907 |

Minnesota Client Security Board Members 1987-2021

BOARD MEMBERS

| | | |
|-----------------------------|----------------|--------------|
| *Kathleen Clarke Anderson | Minneapolis | 2010-2017 |
| *Sister Mary Madonna Ashton | St. Paul | 1992-1998 |
| Timothy H. Baland | Anoka | 2018-present |
| Dana Banwer | Minneapolis | 2011-2014 |
| Robert B. Bauer | Apple Valley | 2013-2019 |
| Gregory M. Bistram | Minneapolis | 2014-2019 |
| Bailey W. Blethen | Mankato | 1991-1997 |
| Daniel L. Bowles | Edina | 1994-2000 |
| *Sandra Brown | Minnetonka | 1990-1996 |
| Kim Buechel Mesun | St. Paul | 1993-1999 |
| Kenneth D. Butler | Duluth | 2008-2014 |
| Richard I. Diamond | Minnetonka | 1997-2003 |
| Gary G. Fuchs | Eagan | 2002-2008 |
| Amber N. Garry | Minneapolis | 2015-2017 |
| Gilbert W. Harries | Duluth | 1987-1991 |
| *Sheldyn M. Himle | Minneapolis | 2008-2010 |
| *Nancy L. Helmich | St. Paul | 2016-present |
| Sharon G. Hobbs | Sartell | 2018-2021 |
| *Jean L. King | St. Paul | 1987-1992 |
| Kevin J. Kolosky | Minneapolis | 2017-2018 |
| Timothy J. Kuntz | South St. Paul | 1996-2002 |
| Earle F. Kyle IV | Minneapolis | 1993-1996 |
| *Paul J. Lehman | Minnetonka | 2017-present |
| Robert T. Lund | Bloomington | 2003-2009 |
| *Beverly K. McKinnell | St. Paul | 1996-2002 |
| *Mary L. Medved | Shoreview | 2010-2016 |
| Richard A. Nethercut | Harmony | 2006-2012 |
| Timothy M. O'Brien | Minneapolis | 2007-2013 |
| Melvin I. Orenstein | Minneapolis | 1987-1993 |
| *Constance S. Otis | St. Paul | 1987-1990 |
| Paul C. Peterson | Minneapolis | 2009-2015 |
| *Judith A. Pinke | Minneapolis | 1998-2004 |
| Cheryl M. Prince | Duluth | 2019-present |
| Michael T. Rengel | Fergus Falls | 2001-2007 |
| *Bonnie R. Russ | St. Paul | 2004-2010 |
| Daniel L. Rust | Crookston | 1995-2001 |
| Warren R. Sagstuen | Minneapolis | 2000-2000 |
| *Sally D. Sawyer | Minneapolis | 2002-2008 |
| Nathan B. Shepherd | Minneapolis | 2019-present |
| Ronald B. Sieloff | St. Paul | 1987-1994 |
| Harriet J. Sims | Minneapolis | 2005-2011 |
| Daniel A. Tollefson | Becker | 2012-2018 |

| | | |
|---------------------|-------------|--------------|
| James B. Vessey | Minneapolis | 1987-1993 |
| Nancy L. Vollertsen | Rochester | 1987-1995 |
| John S. Watson | Minneapolis | 2000-2006 |
| Robert P. Webber | Minneapolis | 2020-present |
| Margaret L. Westin | Minneapolis | 1999-2005 |
| Stuart T. Williams | Minneapolis | 2014-2020 |

*Public Members

CSB Claims by Attorney (Approved)

| Respondent | Number of Claims | Approved Amount | Disciplinary Action | City |
|------------------------|------------------|-----------------|----------------------------|----------------|
| Aase, William J. | 20 | \$93,935.15 | Deceased | Maplewood |
| Amdahl, Charles O. | 2 | \$10,000.00 | None | Minneapolis |
| Anderson, Arne D. | 4 | \$4,950.00 | Suspended | Duluth |
| Anderson, Harold W. E. | 2 | \$39,258.97 | Disbarred | Grand Forks |
| Andrew, John M. | 2 | \$100,000.00 | Disbarred | Shoreview |
| Barta, Loren M. | 3 | \$8,947.93 | Suspended | Prior Lake |
| Batdorf, Richard K. | 1 | \$50,000.00 | Disbarred | Minneapolis |
| Belfay, Roger Lincourt | 1 | \$3,600.00 | Deceased | St. Paul |
| Benson, John T. | 1 | \$50,000.00 | Disbarred | St. Paul |
| Berg, James L. | 8 | \$73,529.03 | Disbarred | Minneapolis |
| Berndt, Deno W. | 16 | \$588,012.94 | Disbarred | Minneapolis |
| Bieter, Thomas | 2 | \$1,500.00 | Disability/Inactive | Duluth |
| Brehmer, David L. | 7 | \$7,656.25 | Disbarred | Bloomington |
| Brost, Linda A. | 1 | \$10,000.00 | Disbarred | St. Paul |
| Brudvig, Thomas M. | 4 | \$3,365.00 | Suspended | Roseville |
| Buchanan, Shana Gail | 4 | \$16,100.00 | Disability/Inactive | St. Paul |
| Campbell, Dyan L. | 5 | \$2,953.53 | Suspended | North St. Paul |
| Carpenter, Gregory A. | 1 | \$1,000.00 | Suspended | Minneapolis |
| Chacon, Jeanne T. | 1 | \$700.00 | Disbarred | Shakopee |
| Clark, Jill E. | 1 | \$1,000.00 | Disability/Inactive | Golden Valley |
| Cohen, Sr., Edward M. | 1 | \$2,245.83 | Disbarred | St. Louis Park |
| Coleman, Richard J. | 1 | \$1,000.00 | Public Reprimand/Probation | West St. Paul |
| Corbin, Michael J. | 1 | \$1,250.00 | Deceased | Faribault |
| Crissey, Heidi | 1 | \$3,000.00 | Suspended | Stillwater |
| Danna, Anthony A. | 3 | \$81,625.00 | Disbarred | St. Paul |
| Davis, Daniel A. | 3 | \$44,486.66 | Disbarred | Edina |
| Day, Richard G. | 2 | \$1,000.00 | Suspended | Edina |
| DeRycke, Eric A. L. | 1 | \$2,000.00 | Disbarred | Lake Benton |
| Douglas, Bruce C. | 11 | \$225,309.60 | None | Edina |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|---------------------------|-------------|-----------------|---------------------|-------------|
| Dovolis, Helen A. | 16 | \$78,317.19 | Disbarred | Minneapolis |
| Drummer, Mary Ellen | 2 | \$4,856.83 | Deceased | Bloomington |
| Duchon, James Carl | 3 | \$2,900.00 | Suspended | Edina |
| Duggins, Terrence Patrick | 6 | \$27,300.00 | Disability/Inactive | Roseville |
| Dygert, Robert W. | 3 | \$70,491.00 | Disbarred | Minneapolis |
| Engwall, Gregory B. | 6 | \$474,262.18 | None | Winthrop |
| Erickson, Bruce E. | 2 | \$1,995.00 | Suspended | Winona |
| Feldman, John H. | 2 | \$12,954.00 | Disbarred | Minneapolis |
| Flanagan, John J. | 6 | \$113,626.59 | Disbarred | St. Paul |
| Flodine, Michael T. | 1 | \$3,000.00 | Suspended | St. Paul |
| Fox, Kristi Ann | 1 | \$2,527.00 | Disability/Inactive | Eagan |
| Frants, Michael | 3 | \$29,827.72 | Disbarred | Minneapolis |
| Freeman, Theresa A. | 2 | \$3,587.50 | Suspended | Edina |
| French, Rodney M. | 6 | \$4,062.50 | Suspended | Minneapolis |
| Fru, Joseph Awah | 1 | \$1,600.00 | Disbarred | Fridley |
| Garcia, Albert A., Jr. | 11 | \$34,840.00 | Disbarred | Minneapolis |
| Getty, Paris DonRay | 5 | \$24,278.00 | Disbarred | St. Paul |
| Goldstein, Robert Mark | 4 | \$11,173.40 | Disbarred | St. Paul |
| Gomsrud, Richard G. | 1 | \$700.00 | Suspended | St. Paul |
| Gorshteyn, Boris A. | 3 | \$21,105.79 | Suspended | Excelsior |
| Graham, Timothy E. | 3 | \$6,257.98 | Disbarred | Rochester |
| Gronbeck, David | 1 | \$500.00 | Suspended | Minneapolis |
| Grzybek, John E. | 1 | \$750.00 | Disbarred | St. Paul |
| Gurstel, Norman K. | 33 | \$147,270.05 | Disbarred | Edina |
| Haefele, Richard J. | 1 | \$400.00 | None | Chaska |
| Handorff, Thomas Francis | 23 | \$41,661.23 | Deceased | Minneapolis |
| Hansen, Dale Allen | 2 | \$26,809.00 | Disbarred | Anoka |
| Hanvik, James T. | 1 | \$264.00 | Suspended | Minneapolis |
| Harnois, Michael D. | 2 | \$1,999.00 | Disability/Inactive | Duluth |
| Harp, Reynaud L. | 2 | \$3,702.00 | Disbarred | St. Paul |
| Harrigan, Thomas G. | 2 | \$131,356.46 | Disbarred | Edina |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|---------------------------|-------------|-----------------|----------------------------------|------------------|
| Hatling, John Allen | 3 | \$7,721.65 | Deceased | Fergus Falls |
| Heikens, Steven G. | 2 | \$12,800.00 | Suspended | Minneapolis |
| Heikkila, Neil D. | 2 | \$90,916.82 | Disbarred | Hopkins |
| Hendricksen, Harald F. | 2 | \$17,875.00 | Suspended | Annandale |
| Henke, David E. | 1 | \$1,000.00 | Suspended | Spring Lake Park |
| Henline, Roy B. | 4 | \$232,041.54 | Disbarred | White Bear Lake |
| Hobbs, Nathaniel P. | 4 | \$5,701.00 | Suspended | Minneapolis |
| Hollender, R. Fred | 1 | \$2,227.74 | None | Minneapolis |
| Holt, Mark David | 4 | \$468,933.12 | Disbarred | North Oaks |
| Hoover, Michael J. | 1 | \$1,500.00 | Disability/Inactive | Minneapolis |
| Hulstrand, Jr., George E. | 2 | \$2,600.00 | Disbarred | Willmar |
| Hummel, Tucker Joseph | 1 | \$10,794.04 | Disbarred | Bloomington |
| Hunter, James W. | 5 | \$21,900.00 | Disbarred | Minneapolis |
| Isaacs, Clark F. | 1 | \$535.78 | Disbarred | St. Paul |
| Jellinger, Richard | 1 | \$1,000.00 | Disbarment (stayed) Suspended | Anoka |
| Joanis, William J. | 1 | \$91,166.31 | None | Minneapolis |
| Johnson, Richard W. | 2 | \$9,362.00 | Disbarred | Red Wing |
| Johnson, Ronald J. | 1 | \$7,196.71 | Disbarred | Hopkins |
| Jones, Matthew Harvey | 1 | \$3,500.00 | Suspended | Chanhassen |
| Kadinger, George M. | 2 | \$1,500.00 | Suspended | St. Paul |
| Karlsen, Bent | 2 | \$4,200.00 | Suspended | Detroit Lakes |
| Kaszynski, William P. | 1 | \$550.00 | Disbarred | St. Paul |
| Kerschbaum, Anthony J. | 3 | \$2,000.00 | Suspended | Minneapolis |
| Kinnunen, Steven J. | 1 | \$500.00 | Suspended | Minneapolis |
| Klane, Murray R. | 1 | \$94,647.88 | Disbarred | Minnetonka |
| Kramer, Jeremy Thomas | 1 | \$83.58 | Disbarred | Owatonna |
| LaChapelle, Arthur W. | 2 | \$18,400.00 | Disbarred | St. Paul |
| Ladd, William L. | 13 | \$49,542.60 | Disbarred | Minneapolis |
| Larsen, Dean D. | 1 | \$40,000.00 | Disbarred | Eden Prairie |
| Larson, Gregory K. | 2 | \$3,250.00 | None | Little Falls |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|----------------------------|-------------|-----------------|---------------------|----------------|
| Leino, Stanley J. | 6 | \$9,921.06 | Suspended | Scandia |
| Levenstein, Eli C. | 1 | \$368.00 | Suspended | Minneapolis |
| Logan, Diana S. | 3 | \$560.00 | Suspended | Minneapolis |
| Lohse, David Jason | 1 | \$900.00 | Suspended | Golden Valley |
| Lundeen, Steven P. | 6 | \$5,574.00 | Disbarred | Minneapolis |
| Magadance, Pamela M. | 1 | \$585.00 | None | St. Paul |
| Marden, Steven K. | 5 | \$16,863.31 | None | St. Paul |
| Maresh, Thomas F. | 1 | \$6,500.00 | Disbarred | Eden Prairie |
| Marshall, Gary L. | 7 | \$24,170.00 | Disbarred | Hoffman |
| Martinez, Michael Lee | 1 | \$2,500.00 | Suspended | St. Paul |
| Matson, Jesse David | 5 | \$32,370.00 | Disbarred | Detroit Lakes |
| McCarthy, Justin H. | 2 | \$58,679.24 | Disbarred | St. Louis Park |
| McCormick, David L. | 3 | \$16,500.00 | Suspended | Minneapolis |
| McGrath, F. Patrick | 1 | \$1,128.00 | Suspended | St. Paul |
| McLean, Nathan K. | 11 | \$20,257.00 | Suspended | St. Paul |
| McNabb, Gerald | 13 | \$147,866.06 | Disbarred | St. Paul |
| Merlin, Carol Sue | 1 | \$500.00 | Suspended | Minneapolis |
| Meshbeshier, Richard M. | 2 | \$5,500.00 | None | Minnetonka |
| Moe, Carlton E. | 1 | \$89,325.52 | Disbarred | West St. Paul |
| Moe, Paul Arthur | 1 | \$15,957.28 | Disbarred | Minnetonka |
| Mohammad, Murad Mowaffak | 4 | \$20,500.00 | Disbarred | Minneapolis |
| Montgomery Montez, Angela | 1 | \$3,950.00 | Suspended | Cottage Grove |
| Morgeson, Sr., Dennis John | 8 | \$547,922.67 | Disability/Inactive | Edina |
| Mortensen, William C. | 2 | \$7,764.00 | Disability/Inactive | St. Louis Park |
| Mose, William G. | 2 | \$400.00 | Suspended | Bloomington |
| Mulvahill, James P. | 1 | \$15,000.00 | Disbarred | Wayzata |
| Murphy, Gerald W. | 9 | \$4,980.99 | Disbarred | Duluth |
| Nelson, John A. | 3 | \$245,024.03 | Disbarred | Willmar |
| Norberg, Amy Beth | 1 | \$3,100.00 | Suspended | Maple Grove |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|-----------------------------|-------------|-----------------|---------------------|-----------------|
| Olsen, Lawrence E. | 1 | \$50,000.00 | Disbarred | Bloomington |
| Orlins, Peter I. | 11 | \$419,843.39 | Disbarred | Richfield |
| Ornstein, Mitchell R. | 1 | \$919.75 | Suspended | Minneapolis |
| Ostfield, Benjamin J. | 3 | \$15,297.72 | Disbarred | Minneapolis |
| Ostroot, Timothy V. | 1 | \$1,200.00 | Disbarred | Champlin |
| Palm, Dennis Lee | 2 | \$4,080.00 | Disability/Inactive | Little Canada |
| Pang, Gary Y. | 3 | \$6,323.00 | Disbarred | Minneapolis |
| Pearson, Kenneth R. | 2 | \$39,000.00 | Disbarred | Golden Valley |
| Pegg, J. C. | 1 | \$2,500.00 | Reprimanded | Owatonna |
| Petry, Rickie Leonard | 1 | \$2,000.00 | Suspended | Minneapolis |
| Pierce, Brian T. | 1 | \$2,500.00 | Disbarred | Lakefield |
| Pitzele, Mark D. | 3 | \$16,419.84 | Disbarred | St. Louis Park |
| Ploetz, John W. | 2 | \$108,494.71 | Disbarred | St. Paul |
| Plowman, George E. | 4 | \$81,144.77 | Disbarred | Prior Lake |
| Polt, Thomas M. | 3 | \$17,082.02 | Disbarred | Eyota |
| Poppe MacKenzie, Jill Alane | 1 | \$1,357.50 | Suspended | Ohio |
| Pucel, Cherylyn T. | 1 | \$3,500.00 | Suspended | Minneapolis |
| Pyles, David A. | 1 | \$16,450.00 | Suspended | Bloomington |
| Rambow, Paul Roland | 4 | \$89,974.08 | Disbarred | Minnetonka |
| Ramler, George C. | 1 | \$1,500.00 | Disbarred | Chanhasen |
| Randall, Michael H. | 2 | \$4,708.00 | Disbarred | Brooklyn Center |
| Rebeau, Gregory J. | 1 | \$3,911.50 | Suspended | St. Paul |
| Redburn, David Timothy | 2 | \$3,100.00 | Suspended | Brooklyn Park |
| Resnik, Ronald | 17 | \$69,836.00 | Disbarred | Brooklyn Center |
| Revering, Calandra Faye | 1 | \$600.00 | Suspended | Maple Grove |
| Rhodes, Bradley C. | 2 | \$1,850.00 | Disbarred | Aitkin |
| Rhodes, Julia K. Satterlee | 3 | \$6,250.00 | Suspended | Hopkins |
| Riggs, George C. | 2 | \$1,350.00 | None | Blaine |
| Rondestvedt, Stephen J. | 23 | \$853,189.12 | Disbarred | Minneapolis |
| Rothstein, Morry N. | 3 | \$7,500.00 | Disbarred | Minneapolis |
| Rueb, Terry H. | 1 | \$15,000.00 | Suspended | Burnsville |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|---------------------------|-------------|-----------------|---------------------|---------------|
| Ruttger III, Max J. | 1 | \$25,678.15 | Disbarred | Brainerd |
| Rymanowski Jr., Joseph A. | 4 | \$7,862.00 | Disbarred | St. Paul |
| Saltzstein, Geoffrey R. | 2 | \$56,235.00 | Disbarred | Edina |
| Samborski, Steve C. | 23 | \$231,829.50 | Disbarred | Minnetonka |
| Sampson, Mark A. | 20 | \$404,742.04 | Disbarred | Fridley |
| Sayaovong, Amoun Vang | 1 | \$3,500.00 | Disbarred | Milwaukee, WI |
| Schmitt, Martha G. | 1 | \$14,624.62 | Suspended | Minneapolis |
| Scott, John O. | 2 | \$57,821.34 | None | Perham |
| Seiler, Victor P. | 1 | \$2,810.77 | None | Minneapolis |
| Sheffey, Ralph E. | 1 | \$5,000.00 | Suspended | Rochester |
| Simonet, William B. | 5 | \$50,411.56 | Disbarred | Stillwater |
| Simonson, Paul L. | 1 | \$2,360.23 | Disbarred | Minneapolis |
| Singer, Michael G. | 1 | \$63,000.00 | Suspended | Minneapolis |
| Skonnord, James T. | 5 | \$2,349.26 | Suspended | St. Paul |
| Smith, Glenn L. | 3 | \$139,391.05 | Disbarred | Edina |
| Smith, Thomas Tippet | 1 | \$141.50 | Suspended | Bemidji |
| Soderberg, James W. | 1 | \$557.87 | Suspended | Winona |
| Soronow, Steven F. | 18 | \$28,180.00 | Suspended | Minneapolis |
| Stockman, William L. | 1 | \$25,000.00 | Disbarred | Duluth |
| Stottler, Travis D. | 3 | \$18,262.00 | Suspended | Lino Lakes |
| Strid, Dennis W. | 1 | \$1,197.00 | Suspended | Minneapolis |
| Sullivan, Kevin P. | 1 | \$200.00 | Suspended | Elk River |
| Swerine, Brian A. | 8 | \$23,645.40 | Disbarred | Brainerd |
| Swokowski, Jay G. | 4 | \$7,724.50 | Disbarred | Anoka |
| Szarke, Steven B. | 1 | \$1,876.38 | Disability/Inactive | Buffalo |
| Tackett, John Wade | 1 | \$4,500.00 | Deceased | Minneapolis |
| Thompson, Joel R. | 2 | \$6,160.00 | Suspended | Detroit Lakes |
| Udeani, Ignatius C. | 1 | \$1,000.00 | None | Bloomington |
| Ulanowski, Lawrence W. | 2 | \$2,249.00 | Disbarred | Brainerd |
| Ulstad, Bjorn | 1 | \$2,500.00 | None | St. Paul |
| Van House, David J. | 1 | \$300.00 | Suspended | Shoreview |

CSB Claims by Attorney (Approved) (Cont'd.)

| Respondent | # of Claims | Approved Amount | Disciplinary Action | City |
|------------------------------|-------------|-----------------------|---------------------|---------------------|
| Vaught, Samuel M. | 2 | \$85,685.71 | Suspended | St. Paul |
| Verbrick, Michael D. | 2 | \$5,000.00 | Disability/Inactive | St. Paul |
| Vinitzky, Richard S. | 2 | \$20,000.00 | Disability/Inactive | St. Paul |
| Voss, Barry V. | 4 | \$37,400.00 | Disbarred | Edina |
| Walker Jr., Samuel | 5 | \$19,945.00 | Disbarred | St. Paul |
| Weber, Deborah A. | 1 | \$1,300.00 | None | Minneapolis |
| Weems, Mark T. | 7 | \$70,901.64 | Disbarred | Shoreview |
| Weisberg, Robert Scott | 2 | \$9,848.52 | Suspended | St. Louis Park |
| Wheat, Donald A. | 4 | \$106,141.37 | Disbarred | Eagan |
| White, Thomas Joseph | 2 | \$13,400.00 | Disbarred | Minneapolis |
| Wolff, Erin Marie | 1 | \$100.00 | Disbarred | Eden Prairie |
| Woroby, Maria Katherine | 5 | \$14,490.00 | Disability/Inactive | St. Paul |
| Wyant, Bruce P. | | | Disbarred | <i>see Morgeson</i> |
| Wylde, John R. | 1 | \$3,775.00 | None | Minneapolis |
| Total Respondents 193 | 693 | \$8,644,790.05 | | |