

**ANNUAL REPORT  
OF THE  
MINNESOTA  
CLIENT SECURITY BOARD**

**PAUL C. PETERSON  
CHAIR**

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901 Marquette Avenue South  
Minneapolis, MN 55402  
(612) 333-1030**

**MARTIN A. COLE  
DIRECTOR**

**1500 Landmark Towers  
345 St. Peter Street  
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**JULIE E. BENNETT  
ASSISTANT DIRECTOR**

**July 2015**

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## I. YEAR IN REVIEW

Rule 1.10, Rules of the Minnesota Client Security Board, provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the Fund, its operation, its assets and liabilities.

This twenty-eighth annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2015, which began July 1, 2014, and ended on June 30, 2015.

The Client Security Board approved twelve (12) initial claims this year, in the total amount of \$137,785.66 (*see* Appendix 1, A. 1-2).<sup>1</sup> Forty-six (46) new claims were filed this past year. Fifty-nine (59)<sup>2</sup> claims were carried over from the previous year. In addition to the twelve (12) initial claims which were approved for payment, thirty-six (36) initial claims were denied, additional funds were paid on one (1) reconsidered claim, eight (8) claims were denied on reconsideration and no claims were withdrawn. At the end of June 2015, fifty-seven (57) claims against thirty-one (31) different lawyers remain pending before the Board. In some instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after twenty-eight years of approving claims, the Board has now paid \$7,372,667.42 on 567 claims against 161 lawyers (*see* Appendix 4, A. 5-10).

The Fund continues to be fiscally healthy.

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|              |                   |          |             |
|--------------|-------------------|----------|-------------|
| <sup>1</sup> | Jill E. Clark     | 1 claim  | \$1,000.00  |
|              | Joseph A. Fru     | 1 claim  | \$1,600.00  |
|              | Tucker Hummel     | 1 claim  | \$10,794.04 |
|              | William Joanis    | 1 claim  | \$91,166.31 |
|              | Gregory K. Larson | 1 claim  | \$1,250.00  |
|              | Steven Marden     | 5 claims | \$16,863.31 |
|              | George C. Riggs   | 1 claim  | \$850.00    |
|              | Travis Stottler   | 1 claim  | \$14,262.00 |

<sup>2</sup> Although the 2014 annual report reported 67 claims pending at the end of June 2014, it failed to account for the decisions made at the June 2014 meeting. There were an additional eight (8) claims denied in FY2014 which reduced the number of cases carried over to FY2015 to fifty-nine (59.)

## II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

**Board Members.** As of June 30, 2015, the following individuals served on the Board (*see* Appendix 3; A. 4):

| <u>Name</u>              | <u>City</u>  | <u>Term Expires</u>         |
|--------------------------|--------------|-----------------------------|
| Paul C. Peterson, Chair  | Minneapolis  | June 30, 2015 (second term) |
| Kathleen Clarke Anderson | Minneapolis  | June 30, 2017 (second term) |
| Robert B. Bauer          | Apple Valley | June 30, 2016 (first term)  |
| Gregory M. Bistram       | Minneapolis  | June 30, 2017 (first term)  |
| Mary L. Medved           | Blaine       | June 30, 2016 (second term) |
| Daniel A. Tollefson      | Becker       | June 30, 2018 (second term) |
| Stuart T. Williams       | Minneapolis  | June 30, 2017 (first term)  |

Paul C. Peterson, a private attorney from Minneapolis, Minnesota, served as the Board's Chair for the past year. Mr. Peterson has now completed his second term and will be leaving the Board. Mr. Peterson embraced the purpose of the fund and guided the Board through review of the claims with the purpose at the center of the discussion.

Daniel A. Tollefson was appointed to a second term which will begin July 1, 2015. The MSBA nominates three of the five attorney members; currently, Mr. Bauer, Mr. Tollefson and Mr. Williams are the MSBA nominees. Ms. Medved and Ms. Anderson are the Board's current public members. The Board's liaison justice from the Court is Justice Alan C. Page.

**Funding and Budget Procedures.** Most lawyers in Minnesota, who pay lawyer registration fees, pay \$12 per year to support the Fund. In 1998, the Supreme Court recommended informal parameters for the Fund of \$1.5 million and \$2.5 million, with the Board to report to the Court if the Fund drops below or exceeds these figures. The Board projects fiscal year-end balances of approximately \$3.6 million in June 2015 and \$3.6 million at the end of June 2016. This is above the Court's recommended parameters and has been reported to the Court.

The Board does not directly handle any funds or the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated

approximately \$341,784. The Board also received approximately \$20,491 in interest income and approximately \$36,220 in restitution payments from lawyers on whose behalf claims have been paid.

The Board anticipates total income from all sources this coming year of approximately \$403,947. The Board has budgeted \$429,903 in total expenditures for next year. Based upon the information presently available to the Board concerning pending claims or known potential claims, the Board budgeted \$352,000 for claim payments next year. The Board has budgeted \$80,000 for all non-claim expenses, including staff services, supplies, rebuilding the Board's computer database and representation at national client protection conferences. The non-claims expenses are slightly higher than normal due to the ongoing computer enhancement projects. In most years, the Board spent less than the budgeted amount on non-claim expense items. (Appendix 2, A. 3.)

**Administration.** The Office of Lawyers Professional Responsibility provides staff services to the Client Security Board. Martin Cole has served as the Director of the Client Security Board since April 2006. Assistant Director, Julie E. Bennett, and paralegal, Tricia Jorgensen, handle the day-to-day operations of the Fund including contact with respondents and claimants.

Following each meeting, the Board issues a press release regarding claims approved pursuant to the Board's policy. The press releases are also posted on the Board's website to provide public information about the Board's activities. The website also contains answers to frequently asked questions (FAQs), the Board's rules, the claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-10). The website also has links to articles written about the Client Security Board.<sup>3</sup>

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board

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<sup>3</sup> The Client Security Board website address is: <http://csb.mncourts.gov>.

has paid claims, or against any third persons from whom payments may be legally obtained. Assistant Attorney General Scott Grosskreutz represents the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection efforts and litigation. Where appropriate, the Attorney General also assists the Board in being listed as a victim in criminal restitution orders.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are referred to MDOR. The referrals to MDOR are made electronically, thereby allowing referrals to be made more quickly.

**Claims Procedure.** Claims are initiated by the submission of a claim to the Director's Office on forms approved by the Board. The forms are provided to the claimant along with a brochure which explains the process. The claim form, brochure and copies of the Board's rules are also available via the Board's website. The claim form and brochure are available in English and Spanish.

After a claim is submitted, the respondent attorney is provided an opportunity to respond in writing. The Board also has access to all lawyer disciplinary files, from which considerable information may be obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board may exercise its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights.


Generally, attorney disciplinary proceedings against the respondent attorney will have been completed before any Client Security Fund payment is made. The Board relies heavily, although not exclusively, upon findings made in related lawyer


disciplinary actions, or in related civil or criminal cases, whenever possible. The Board has no aggregate limits on the amount that may be paid on a lawyer's behalf. The maximum payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.


If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

### III. GOALS AND OBJECTIVES

No major funding issues are anticipated this coming year. The Board has experienced members who continue to provide sound leadership. The Fund's principal goal is to provide as close to 100 percent payment to 100 percent of the valid claims, subject to the Board's maximum payment of \$150,000. Prompt resolution of claims following disciplinary or criminal proceedings is the other major goal for the Board every year.

Dated: July 13, 2015.   
PAUL C. PETERSON  
MINNESOTA CLIENT SECURITY BOARD

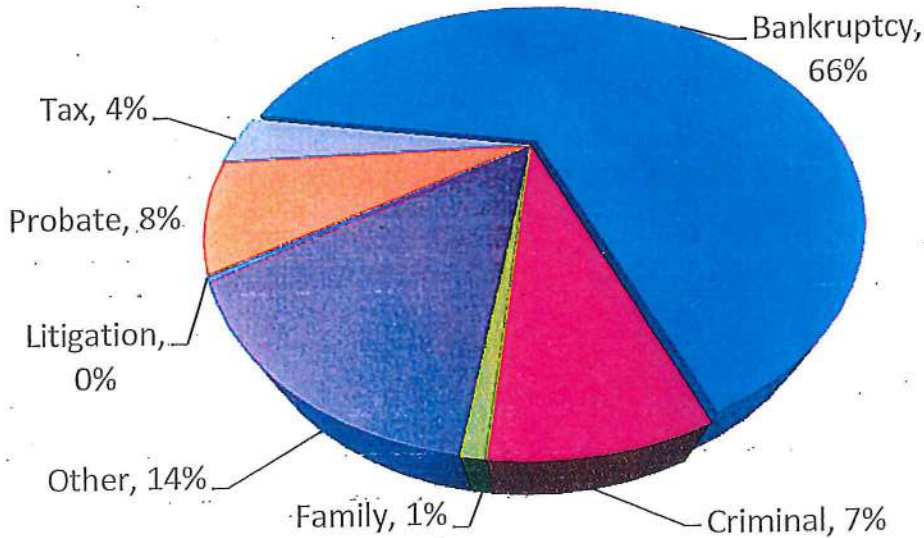
Dated: July 10 2015.   
MARTIN A. COLE, DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 10 2015.   
JULIE E. BENNETT, ASSISTANT DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD

**Awards of Reimbursement - July 1, 2014  
through June 30, 2015**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board during fiscal year 2015.**

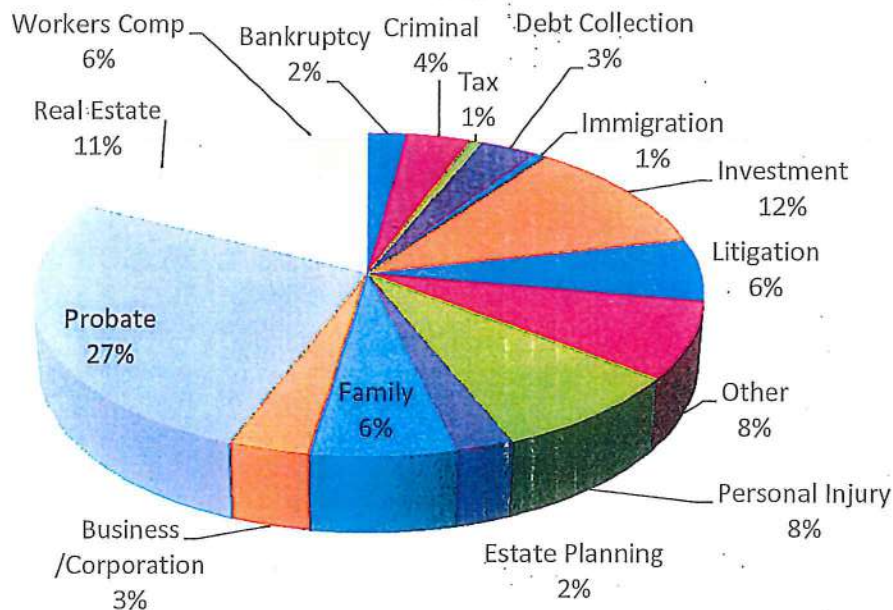
| Area of Law  | Number of Awards | Amount of Awards    |
|--------------|------------------|---------------------|
| Bankruptcy   | 1                | \$91,166.31         |
| Criminal     | 3                | \$10,348.79         |
| Family       | 2                | \$1,189.92          |
| Litigation   | 1                | \$450.16            |
| Other        | 2                | \$18,736.44         |
| Probate      | 1                | \$10,794.04         |
| Tax          | 2                | \$5,100.00          |
| <b>Total</b> | <b>12</b>        | <b>\$137,785.66</b> |



**Awards of Reimbursement - July 1, 1987  
through June 30, 2015**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board since 1987.**

| Area of Law     | Number of Awards | Amount of Awards      |
|-----------------|------------------|-----------------------|
| Bankruptcy      | 29               | \$153,998.61          |
| Business/Corpor | 16               | \$253,107.40          |
| Criminal        | 60               | \$257,086.48          |
| Debt Collection | 38               | \$232,607.30          |
| Estate Planning | 5                | \$179,704.69          |
| Family          | 83               | \$457,107.31          |
| Immigration     | 20               | \$40,490.00           |
| Investment      | 15               | \$854,609.47          |
| Litigation      | 46               | \$453,256.55          |
| Other           | 76               | \$565,765.59          |
| Personal Injury | 41               | \$618,195.91          |
| Probate         | 63               | \$1,962,502.69        |
| Real Estate     | 44               | \$825,308.44          |
| Tax             | 12               | \$51,312.28           |
| Workers Comp    | 19               | \$467,614.70          |
| <b>Total</b>    | <b>567</b>       | <b>\$7,372,667.42</b> |



**CLIENT SECURITY FUND FINANCIAL HISTORY**

| Fiscal Year       | Contribution by Bar | Investment Income | Restitution | Number of Claims Paid | Amt. Paid to Claimants | Other Expenses | Balance Year End |
|-------------------|---------------------|-------------------|-------------|-----------------------|------------------------|----------------|------------------|
| 2000              | \$ 328,746          | \$ 121,970        | \$ 45,783   | 23                    | \$ 481,187             | \$ 50,814      | \$2,064,267      |
| 2001              | \$ 333,805          | \$ 134,507        | \$ 80,028   | 14                    | \$ 99,073              | \$ 61,436      | \$2,452,098      |
| 2002              | \$ 342,067          | \$ 89,230         | \$ 75,961   | 38                    | \$ 330,117             | \$ 58,390      | \$2,570,849      |
| 2003              | \$ 322,891          | \$ 61,824         | \$ 22,994   | 27                    | \$ 140,272             | \$ 35,028      | \$2,803,258      |
| 2004              | \$ 252,034          | \$ 32,351         | \$ 87,177   | 31                    | \$ 759,150             | \$ 68,486      | \$2,347,184      |
| 2005              | \$ 253,728          | \$ 53,390         | \$ 31,018   | 7                     | \$ 195,025             | \$ 37,885      | \$2,452,410      |
| 2006              | \$ 255,894          | \$ 110,597        | \$ 87,217   | 17                    | \$ 234,848             | \$ 44,375      | \$2,626,895      |
| 2007              | \$ 288,725          | \$ 148,689        | \$ 73,744   | 2                     | \$ 135,417             | \$ 52,996      | \$2,949,637      |
| 2008              | \$ 320,403          | \$ 147,062        | \$ 135,226  | 9                     | \$ 168,905             | \$ 63,134      | \$3,320,289      |
| 2009              | \$ 49,419           | \$ 90,905         | \$ 128,285  | 15                    | \$ 120,656             | \$ 47,736      | \$3,420,506      |
| 2010              | \$ 15,976           | \$ 30,574         | \$ 68,558   | 4                     | \$ 305,500             | \$ 46,854      | \$3,183,261      |
| 2011              | \$ 353,085          | \$ 18,996         | \$ 34,977   | 23                    | \$ 58,156              | \$ 55,038      | \$3,477,125      |
| 2012              | \$ 330,519          | \$ 18,786         | \$ 62,523   | 21                    | \$ 117,620             | \$ 56,108      | \$3,715,293      |
| 2013              | \$ 345,109          | \$ 20,016         | \$ 31,401   | 27                    | \$ 383,002             | \$ 37,810      | \$3,691,007      |
| 2014              | \$ 354,256          | \$ 18,050         | \$ 37,951   | 18                    | \$ 383,712             | \$ 61,898      | \$3,655,654      |
| 2015 thru 4/30/15 | \$ 274,368          | \$ 12,212         | \$ 33,453   | 12                    | \$ 137,785             | \$ 42,446      | \$3,641,233      |

# Minnesota Client Security Board Members 1987-2015

## BOARD MEMBERS

|                             |                |              |
|-----------------------------|----------------|--------------|
| *Kathleen Clarke Anderson   | Minneapolis    | 2010-present |
| *Sister Mary Madonna Ashton | St. Paul       | 1992-1998    |
| Dana Banwer                 | Minneapolis    | 2011-2014    |
| Robert B. Bauer             | Apple Valley   | 2013-present |
| Gregory M. Bistram          | Minneapolis    | 2014-present |
| Bailey W. Blethen           | Mankato        | 1991-1997    |
| Daniel L. Bowles            | Edina          | 1994-2000    |
| *Sandra Brown               | Minnetonka     | 1990-1996    |
| Kim Buechel Mesun           | St. Paul       | 1993-1999    |
| Kenneth D. Butler           | Duluth         | 2008-2014    |
| Richard I. Diamond          | Minnetonka     | 1997-2003    |
| Gary G. Fuchs               | Eagan          | 2002-2008    |
| Gilbert W. Harries          | Duluth         | 1987-1991    |
| *Sheldyn M. Himle           | Minneapolis    | 2008-2010    |
| *Jean L. King               | St. Paul       | 1987-1992    |
| Timothy J. Kuntz            | South St. Paul | 1996-2002    |
| Earle F. Kyle IV            | Minneapolis    | 1993-1996    |
| Robert T. Lund              | Bloomington    | 2003-2009    |
| *Beverly K. McKinnell       | St. Paul       | 1996-2002    |
| *Mary L. Medved             | Shoreview      | 2010-present |
| Richard A. Nethercut        | Harmony        | 2006-2012    |
| Timothy M. O'Brien          | Minneapolis    | 2007-2013    |
| Melvin I. Orenstein         | Minneapolis    | 1987-1993    |
| *Constance S. Otis          | St. Paul       | 1987-1990    |
| Paul C. Peterson            | Minneapolis    | 2009-present |
| *Judith A. Pinke            | Minneapolis    | 1998-2004    |
| Michael T. Rengel           | Fergus Falls   | 2001-2007    |
| *Bonnie R. Russ             | St. Paul       | 2004-2010    |
| Daniel L. Rust              | Crookston      | 1995-2001    |
| Warren R. Sagstuen          | Minneapolis    | 2000-2000    |
| *Sally D. Sawyer            | Minneapolis    | 2002-2008    |
| Ronald B. Sieloff           | St. Paul       | 1987-1994    |
| Harriet J. Sims             | Minneapolis    | 2005-2011    |
| Daniel A. Tollefson         | Becker         | 2012-present |
| James B. Vessey             | Minneapolis    | 1987-1993    |
| Nancy L. Vollertsen         | Rochester      | 1987-1995    |
| John S. Watson              | Minneapolis    | 2000-2006    |
| Margaret L. Westin          | Minneapolis    | 1999-2005    |
| Stuart T. Williams          | Minneapolis    | 2014-present |

\*Public Members

### CSB Claims Paid by Attorney

| Respondent             | Number of Claims | Amount Paid  | Disciplinary Action        | City           |
|------------------------|------------------|--------------|----------------------------|----------------|
| Amdahl, Charles O.     | 2                | \$10,000.00  | None                       | Minneapolis    |
| Anderson, Arne D.      | 4                | \$4,950.00   | Suspended                  | Duluth         |
| Anderson, Harold W. E. | 2                | \$39,258.97  | Disbarred                  | Grand Forks    |
| Andrew, John M.        | 2                | \$100,000.00 | Disbarred                  | Shoreview      |
| Barta, Loren M.        | 3                | \$8,947.93   | Suspended                  | Prior Lake     |
| Batdorf, Richard K.    | 1                | \$50,000.00  | Disbarred                  | Minneapolis    |
| Benson, John T.        | 1                | \$50,000.00  | Disbarred                  | St. Paul       |
| Berg, James L.         | 8                | \$73,529.03  | Disbarred                  | Minneapolis    |
| Berndt, Deno W.        | 15               | \$588,012.94 | Disbarred                  | Minneapolis    |
| Bieter, Thomas         | 2                | \$1,500.00   | Disability/Inactive        | Duluth         |
| Brehmer, David L.      | 7                | \$7,656.25   | Disbarred                  | Bloomington    |
| Brudvig, Thomas M.     | 4                | \$3,365.00   | Suspended                  | Roseville      |
| Buchanan, Shana Gail   | 4                | \$16,100.00  | Disability/Inactive        | St. Paul       |
| Campbell, Dyan L.      | 5                | \$2,953.53   | Suspended                  | North St. Paul |
| Carpenter, Gregory A.  | 1                | \$1,000.00   | Suspended                  | Minneapolis    |
| Chacon, Jeanne T.      | 1                | \$700.00     | Disbarred                  | Shakopee       |
| Clark, Jill E.         | 1                | \$1,000.00   | Disability/Inactive        | Golden Valley  |
| Cohen, Sr., Edward M.  | 1                | \$2,245.83   | Disbarred                  | St. Louis Park |
| Coleman, Richard J.    | 1                | \$1,000.00   | Public Reprimand/Probation | West St. Paul  |
| Crissey, Heidi         | 1                | \$3,000.00   | Suspended                  | Stillwater     |
| Danna, Anthony A.      | 3                | \$81,625.00  | Disbarred                  | St. Paul       |
| Davis, Daniel A.       | 3                | \$44,486.66  | Disbarred                  | Edina          |
| Day, Richard G.        | 2                | \$1,000.00   | Suspended                  | Edina          |
| DeRycke, Eric A. L.    | 1                | \$2,000.00   | Disbarred                  | Lake Benton    |
| Douglas, Bruce C.      | 11               | \$225,309.60 | None                       | Edina          |
| Dovolis, Helen A.      | 16               | \$78,317.19  | Disbarred                  | Minneapolis    |
| Duchon, James Carl     | 1                | \$1,750.00   | Suspended                  | Edina          |
| Dygart, Robert W.      | 3                | \$70,491.00  | Disbarred                  | Minneapolis    |
| Engwall, Gregory B.    | 6                | \$474,262.18 | None                       | Winthrop       |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent             | # of Claims | Amount Paid  | Disciplinary Action | City             |
|------------------------|-------------|--------------|---------------------|------------------|
| Erickson, Bruce E.     | 2           | \$1,995.00   | Suspended           | Winona           |
| Feldman, John H.       | 2           | \$12,954.00  | Disbarred           | Minneapolis      |
| Flanagan, John J.      | 6           | \$113,626.59 | Disbarred           | St. Paul         |
| Freeman, Theresa A.    | 1           | \$1,587.50   | Suspended           | Edina            |
| Flodine, Michael T.    | 1           | \$3,000.00   | Suspended           | St. Paul         |
| Frants, Michael        | 3           | \$29,827.72  | Disbarred           | Minneapolis      |
| French, Rodney M.      | 6           | \$4,062.50   | Suspended           | Minneapolis      |
| Fru, Joseph Awah       | 1           | \$1,600.00   | Disbarred           | Fridley          |
| Garcia, Albert A., Jr. | 11          | \$34,840.00  | Disbarred           | Minneapolis      |
| Getty, Paris DonRay    | 5           | \$24,278.00  | Disbarred           | St. Paul         |
| Goldstein, Robert Mark | 4           | \$11,173.40  | Disbarred           | St. Paul         |
| Gomsrud, Richard G.    | 1           | \$700.00     | Suspended           | St. Paul         |
| Graham, Timothy E.     | 3           | \$6,257.98   | Disbarred           | Rochester        |
| Gronbeck, David        | 1           | \$500.00     | Suspended           | Minneapolis      |
| Grzybek, John E.       | 1           | \$750.00     | Disbarred           | St. Paul         |
| Gurstel, Norman K.     | 33          | \$147,270.05 | Disbarred           | Edina            |
| Haefele, Richard J.    | 1           | \$400.00     | None                | Chaska           |
| Hanvik, James T.       | 1           | \$264.00     | Suspended           | Minneapolis      |
| Harnois, Michael D.    | 2           | \$1,999.00   | Disability/Inactive | Duluth           |
| Harp, Reynaud L.       | 2           | \$3,702.00   | Disbarred           | St. Paul         |
| Harrigan, Thomas G.    | 2           | 131,356.46   | Disbarred           | Edina            |
| Harris, Calandra Faye  | 1           | \$600.00     | Suspended           | Maple Grove      |
| Heikens, Steven G.     | 2           | \$12,800.00  | Suspended           | Minneapolis      |
| Heikkila, Neil D.      | 2           | \$90,916.82  | Disbarred           | Hopkins          |
| Hendricksen, Harald F. | 2           | \$17,875.00  | Suspended           | Annandale        |
| Henke, David E.        | 1           | \$1,000.00   | Suspended           | Spring Lake Park |
| Hobbs, Nathaniel P.    | 4           | \$5,701.00   | Suspended           | Minneapolis      |
| Hollender, R. Fred     | 1           | \$2,227.74   | None                | Minneapolis      |
| Hoover, Michael J.     | 1           | \$1,500.00   | Disability/Inactive | Minneapolis      |
| Hummel, Tucker Joseph  | 1           | \$10,794.04  | Disbarred           | Bloomington      |
| Hunter, James W.       | 5           | \$21,900.00  | Disbarred           | Minneapolis      |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent              | # of Claims | Amount Paid  | Disciplinary Action              | City           |
|-------------------------|-------------|--------------|----------------------------------|----------------|
| Isaacs, Clark F.        | 1           | \$535.78     | Disbarred                        | St. Paul       |
| Jellinger, Richard      | 1           | \$1,000.00   | Disbarment (stayed)<br>Suspended | Anoka          |
| Joanis, William J.      | 1           | \$91,166.31  | None                             | Minneapolis    |
| Johnson, Richard W.     | 2           | \$9,362.00   | Disbarred                        | Red Wing       |
| Johnson, Ronald J.      | 1           | \$7,196.71   | Disbarred                        | Hopkins        |
| Kadinger, George M.     | 2           | \$1,500.00   | Suspended                        | St. Paul       |
| Karlsen, Bent           | 2           | \$4,200.00   | Suspended                        | Detroit Lakes  |
| Kaszynski, William P.   | 1           | \$550.00     | Disbarred                        | St. Paul       |
| Kinnunen, Steven J.     | 1           | \$500.00     | Suspended                        | Minneapolis    |
| Klane, Murray R.        | 1           | \$94,647.88  | Disbarred                        | Minnetonka     |
| LaChapelle, Arthur W.   | 2           | \$18,400.00  | Disbarred                        | St. Paul       |
| Ladd, William L.        | 13          | \$49,542.60  | Disbarred                        | Minneapolis    |
| Larsen, Dean D.         | 1           | \$40,000.00  | Disbarred                        | Eden Prairie   |
| Larson, Gregory K.      | 1           | \$1,250.00   | None                             | Little Falls   |
| Leino, Stanley J.       | 6           | \$9,921.06   | Suspended                        | Scandia        |
| Levenstein, Eli C.      | 1           | \$368.00     | Suspended                        | Minneapolis    |
| Logan, Diana S.         | 3           | \$560.00     | Suspended                        | Minneapolis    |
| Lundeen, Steven P.      | 6           | \$5,574.00   | Disbarred                        | Minneapolis    |
| Magadance, Pamela M.    | 1           | \$585.00     |                                  | St. Paul       |
| Marden, Steven K.       | 5           | \$16,863.31  | None                             | St. Paul       |
| Maresh, Thomas F.       | 1           | \$6,500.00   | Disbarred                        | Eden Prairie   |
| Marshall, Gary L.       | 7           | \$24,170.00  | Disbarred                        | Hoffman        |
| Martinez, Michael Lee   | 1           | \$2,500.00   | Suspended                        | St. Paul       |
| McCarthy, Justin H.     | 2           | \$58,679.24  | Disbarred                        | St. Louis Park |
| McCormick, David L.     | 3           | \$16,500.00  | Suspended                        | Minneapolis    |
| McGrath, F. Patrick     | 1           | \$1,128.00   | Suspended                        | St. Paul       |
| McLean, Nathan K.       | 11          | \$20,257.00  | Suspended                        | St. Paul       |
| McNabb, Gerald          | 13          | \$147,866.06 | Disbarred                        | St. Paul       |
| Merlin, Carol Sue       | 1           | \$500.00     | Suspended                        | Minneapolis    |
| Meshbeshier, Richard M. | 2           | \$5,500.00   | None                             | Minnetonka     |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent                 | # of Claims | Amount Paid  | Disciplinary Action | City            |
|----------------------------|-------------|--------------|---------------------|-----------------|
| Moe, Carlton E.            | 1           | \$89,325.52  | Disbarred           | West St. Paul   |
| Montgomery Montez, Angela  | 1           | \$3,950.00   | Suspended           | Cottage Grove   |
| Morgeson, Sr., Dennis John | 8           | \$547,922.67 | Disability/Inactive | Edina           |
| Mortensen, William C.      | 2           | \$7,764.00   | Disability/Inactive | St. Louis Park  |
| Mose, William G.           | 2           | \$400.00     | Suspended           | Bloomington     |
| Mulvahill, James P.        | 1           | \$15,000.00  | Disbarred           | Wayzata         |
| Murphy, Gerald W.          | 9           | \$4,980.99   | Disbarred           | Duluth          |
| Nelson, John A.            | 3           | \$245,024.03 | Disbarred           | Willmar         |
| Olsen, Lawrence E.         | 1           | \$50,000.00  | Disbarred           | Bloomington     |
| Orlins, Peter I.           | 11          | \$419,843.39 | Disbarred           | Richfield       |
| Ornstein, Mitchell R.      | 1           | \$919.75     | Suspended           | Minneapolis     |
| Ostfield, Benjamin J.      | 3           | \$15,297.72  | Disbarred           | Minneapolis     |
| Ostroot, Timothy V.        | 1           | \$1,200.00   | Disbarred           | Champlin        |
| Palm, Dennis Lee           | 2           | \$4,080.00   | Disability/Inactive | Little Canada   |
| Pang, Gary Y.              | 3           | \$6,323.00   | Disbarred           | Minneapolis     |
| Pearson, Kenneth R.        | 2           | \$39,000.00  | Disbarred           | Golden Valley   |
| Pegg, J. C.                | 1           | \$2,500.00   | Reprimanded         | Owatonna        |
| Pierce, Brian T.           | 1           | \$2,500.00   | Disbarred           | Lakefield       |
| Pitzele, Mark D.           | 3           | \$16,419.84  | Disbarred           | St. Louis Park  |
| Ploetz, John W.            | 2           | \$108,494.71 | Disbarred           | St. Paul        |
| Plowman, George E.         | 4           | \$81,144.77  | Disbarred           | Prior Lake      |
| Polt, Thomas M.            | 3           | \$17,082.02  | Disbarred           | Eyota           |
| Pucel, Cherylyn T.         | 1           | \$3,500.00   | Suspended           | Minneapolis     |
| Pyles, David A.            | 1           | \$16,450.00  | Suspended           | Bloomington     |
| Ramler, George C.          | 1           | \$1,500.00   | Disbarred           | Chanhassen      |
| Randall, Michael H.        | 2           | \$4,708.00   | Disbarred           | Brooklyn Center |
| Rebeau, Gregory J.         | 1           | \$3,911.50   | Suspended           | St. Paul        |
| Redburn, David Timothy     | 2           | \$3,100.00   | Suspended           | Brooklyn Park   |
| Rhodes, Bradley C.         | 2           | \$1,850.00   | Disbarred           | Aitkin          |
| Rhodes, Julia K. Satterlee | 3           | \$6,250.00   | Suspended           | Hopkins         |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent                                  | # of Claims | Amount Paid  | Disciplinary Action | City          |
|---|-------------|--------------|---------------------|---------------|
| Riggs, George C.                            | 1           | \$850.00     | None                | Blaine        |
| Rondestvedt, Stephen J.                     | 23          | \$853,189.12 | Disbarred           | Minneapolis   |
| Rothstein, Morry N.                         | 3           | \$7,500.00   | Disbarred           | Minneapolis   |
| Ruttger III, Max J.                         | 1           | \$25,678.15  | Disbarred           | Brainerd      |
| Rymanowski Jr., Joseph A.                   | 4           | \$7,862.00   | Disbarred           | St. Paul      |
| Samborski, Steve C.                         | 23          | \$231,829.50 | Disbarred           | Minnetonka    |
| Sampson, Mark A.                            | 20          | \$404,742.04 | Disbarred           | Fridley       |
| Schmitt, Martha G.                          | 1           | \$14,624.62  | Suspended           | Minneapolis   |
| Scott, John O.                              | 2           | \$57,821.34  | None                | Perham        |
| Seiler, Victor P.                           | 1           | \$2,810.77   | None                | Minneapolis   |
| Sheffey, Ralph E.                           | 1           | \$5,000.00   | Suspended           | Rochester     |
| Simonet, William B.                         | 5           | \$50,411.56  | Disbarred           | Stillwater    |
| Simonson, Paul L.                           | 1           | \$2,360.23   | Disbarred           | Minneapolis   |
| Singer, Michael G.                          | 1           | \$63,000.00  | Suspended           | Minneapolis   |
| Skonnord, James T.                          | 5           | \$2,349.26   | Suspended           | St. Paul      |
| Smith, Glenn L.                             | 3           | \$139,391.05 | Disbarred           | Edina         |
| Soderberg, James W.                         | 1           | \$557.87     | Suspended           | Winona        |
| Soronow, Steven F.                          | 18          | \$28,180.00  | Suspended           | Minneapolis   |
| Soronow, Steven F. and<br>Weber, Deborah A. | 1           | \$1,300      |                     | Minneapolis   |
| Stockman, William L.                        | 1           | \$25,000.00  | Disbarred           | Duluth        |
| Stottler, Travis D.                         | 3           | \$18,262.00  | Suspended           | Lino Lakes    |
| Strid, Dennis W.                            | 1           | \$1,197.00   | Suspended           | Minneapolis   |
| Sullivan, Kevin P.                          | 1           | \$200.00     | Suspended           | Elk River     |
| Swerine, Brian A.                           | 8           | \$23,645.40  | Disbarred           | Brainerd      |
| Swokowski, Jay G.                           | 4           | \$7,724.50   | Disbarred           | Anoka         |
| Thompson, Joel R.                           | 2           | \$6,160.00   | Suspended           | Detroit Lakes |
| Ulanowski, Lawrence W.                      | 2           | 2,249.00     | Disbarred           | Brainerd      |
| Ulstad, Bjorn                               | 1           | \$2,500.00   | None                | St. Paul      |
| Vaught, Samuel M.                           | 2           | \$85,685.71  | Suspended           | St. Paul      |
| Verbrick, Michael D.                        | 2           | \$5,000.00   | Disability/Inactive | St. Paul      |
| Vinitsky, Richard S.                        | 2           | \$20,000.00  | Disability/Inactive | St. Paul      |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent                   | # of Claims | Amount Paid           | Disciplinary Action | City                |
|------------------------------|-------------|-----------------------|---------------------|---------------------|
| Voss, Barry V.               | 4           | \$37,400.00           | Disbarred           | Edina               |
| Walker Jr., Samuel           | 5           | \$19,945.00           | Disbarred           | St. Paul            |
| Weems, Mark T.               | 7           | \$70,901.64           | Disbarred           | Shoreview           |
| Weisberg, Robert Scott       | 2           | \$9,848.52            | Suspended           | St. Louis Park      |
| Wheat, Donald A.             | 3           | \$37,841.70           | Disbarred           | Eagan               |
| Wheat, Donald A. (2)         | 1           | \$68,299.67           | Disbarred           | Eagan               |
| White, Thomas Joseph         | 2           | \$13,400.00           | Disbarred           | Minneapolis         |
| Woroby, Maria Katherine      | 5           | \$14,490.00           | Disability/Inactive | St. Paul            |
| Wyant, Bruce P.              |             |                       | Disbarred           | <i>see Morgeson</i> |
| Wylde, John R.               | 1           | \$3,775.00            | None                | Minneapolis         |
| <b>Total Respondents 161</b> | <b>567</b>  | <b>\$7,372,667.42</b> |                     |                     |