ANNUAL REPORT OF THE MINNESOTA CLIENT SECURITY BOARD

RICHARD A. NETHERCUT CHAIR

32 Main Avenue North P.O. Box 657 Harmony, MN 55939-0657 (507) 886-6131 MARTIN A. COLE DIRECTOR

1500 Landmark Towers 345 St. Peter Street St. Paul, MN 55102-1218 (651) 296-3952

JULIE E. BENNETT ASSISTANT DIRECTOR

TABLE OF CONTENTS

| | | <u>Page</u> |
|------|--|-------------|
| I. | YEAR IN REVIEW | 1 |
| II. | THE CLIENT SECURITY BOARD AND ITS PROCEDURES | 2 |
| III. | GOALS AND OBJECTIVES | 6 |
| | | |
| | APPENDICES | |
| 1. | REIMBURSEMENTS AND LOSSES CLAIMED A. 1- | -A. 2 |
| 2. | CLIENT SECURITY BOARD FINANCIAL HISTORY | A.3 |
| 3. | LIST OF CURRENT AND FORMER BOARD MEMBERS | A. 4 |
| 4. | CLAIMS PAID AND AMOUNTS PER ATTORNEY A. 5- | -A. 9 |

I. YEAR IN REVIEW

Rule 1.10, Minnesota Rules of the Client Security Board (MRCSB), provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the Fund, its operation, its assets and liabilities.

This twenty-fifth annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2012, which began July 1, 2011, and ended on June 30, 2012.

The Client Security Board approved 21 claims this year, in the total amount of \$117,620.00 (see Appendix 1, A. 1-2).¹

Sixty-six (66) new claims were filed this past year. Thirty-seven claims were carried-over from the previous year. In addition to the 21 claims which were approved for payment, 22 claims were denied and no claims were withdrawn. At the end of June 2012, 60 claims against 24 different lawyers remain pending before the Board. In some instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after twenty-five years of paying claims, the Board has now paid \$6,468,166.65 on 511 claims against 146 lawyers (see Appendix 4, A. 5-9).

The Fund continues to be fiscally healthy.

Deno W. Berndt 1 claim \$59,675.45 Michael Frants 2 claims \$23,161.05 Albert A. Garcia, Jr. 1 claim \$ 2,500.00 Steven P. Lundeen 4 claims \$ 3,774.00 Nathan K. McLean 2 claims \$ 6,700.00 Angela Montgomery Montez 1 claim \$ 3,950.00 Joseph Rymanowski, Jr. 3 claims \$ 5,600.00 Travis Stottler 1 claim \$ 2,500.00 Jay Swokowski 4 claims \$ 7,724.50 Maria Katherine Woroby 2 claims \$ 2,035.00

II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

Board Members. As of June 30, 2012, the following individuals served on the Board (*see* Appendix 3; A. 4):

| <u>Name</u> | <u>City</u> | Term Expires |
|-----------------------------|-------------|-----------------------------|
| Richard A. Nethercut, Chair | Harmony | June 30, 2012 (second term) |
| Kathleen Clarke Anderson | Minneapolis | June 30, 2014 (first term) |
| Dana Banwer | Minneapolis | June 30, 2014 (first term) |
| Kenneth D. Butler | Duluth | June 30, 2014 (second term) |
| Mary L. Medved | Shoreview | June 30, 2013 (first term) |
| Timothy M. O'Brien | Minneapolis | June 30, 2013 (second term) |
| Paul C. Peterson | Minneapolis | June 30, 2012 (first term) |
| | | |

Richard A. Nethercut, a private attorney from Harmony, Minnesota, served as the Board's Chair for the past year. Mr. Nethercut has now completed his second term and will be leaving the Board. Mr. Nethercut's sense of humor and charm enabled other Board members to be at ease and fully participate in the decision-making process. Mr. Nethercut used his insight into the Minnesota rules and knowledge gathered from around the country to further the cause of client protection.

Dana Banwer was nominated by the Minnesota State Bar Association ("MSBA") and approved by the Court as the replacement for Harriet J. Sims, who completed her terms on the Board on June 30, 2011.

The MSBA nominates three of the five attorney members; currently Mr. Nethercut, Mr. O'Brien and Ms. Banwer are the MSBA nominees. Ms. Medved and Ms. Anderson are the Board's current public members. The Board's liaison justice from the Supreme Court is Justice Christopher Dietzen.

Funding and Budget Procedures. Most lawyers in Minnesota who pay lawyer registration fees pay \$12 per year to support the Fund. In 1998, the Supreme

Court recommended informal parameters for the Fund of \$1.5 million and \$2.5 million, with the Board to report to the Court if the Fund drops below or exceeds these figures. The Board projects fiscal year-end balances of approximately \$3.7 million in June 2012 and \$3.6 million at the end of June 2013. This is above the Court's recommended parameters and has been reported to the Court.

The Board does not directly handle any funds or the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated approximately \$350,000. The Board also received approximately \$15,300 in interest income and approximately \$54,000 in restitution payments from lawyers on whose behalf claims have been paid.

The Board anticipates total income from all sources this coming year of approximately \$385,000. The Board has budgeted \$468,760 in total expenditures for next year. Based upon the information presently available to the Board concerning pending claims or known potential claims, the Board budgeted \$395,000 for claim payments next year. Just over \$73,000 has been budgeted for all non-claim expenses, including staff services, supplies, additional regular enhancements to the Board's computer database and attendance by two people at national conferences. In most years, the Board has in fact been able to spend less than budgeted for all non-claim payment items in its budget. (Appendix 2, A. 3.)

Administration. The Office of Lawyers Professional Responsibility (OLPR) provides staff services to the Client Security Board. Martin Cole has served as the Director of the Client Security Board since April 2006. Assistant Director, Julie E. Bennett, and paralegal, Tricia Jorgensen, handle the day-to-day operations of the Fund including contact with respondents and claimants.

Following each meeting, the Board issues a press release regarding claims paid pursuant to the Board's policy. The press releases are also posted on the Board's Web site in order to provide public access about the Board's activities. The Web site also contains answers to frequently asked questions (FAQs), the Board's rules, claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-9). The Web site also has links to articles written about the Client Security Board.²

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board has paid claims, or against any third persons from whom payments may be legally obtained. Assistant Attorneys General Angela Behrens, Jackson Evans, Jocelyn Olson and Scott Grosskreutz represent the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection efforts and litigation. The Attorney General also assists the Board in being listed as a victim in criminal restitution orders where appropriate.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are now referred to MDOR to pursue. The referrals to MDOR are now made electronically, thereby allowing referrals to be made more quickly.

² The Client Security Board Web site address is: http://csb.mncourts.gov.

Claims Procedure. Claims are initiated by the submission of a claim to the Director's Office on forms approved by the Board. Claimants are provided the forms and a brochure to help explain the process. The claim form, brochure and copies of the Board's rules are also available via the Board's Web site.

The respondent attorney is provided an opportunity to respond in writing. The Board also has access to all lawyer disciplinary files, from which considerable information may be obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board may exercise its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights.

Generally, attorney disciplinary proceedings against the respondent attorney will have been completed before any Client Security payment is made. The Board generally relies upon findings made in related lawyer disciplinary actions concerning misappropriation, or in related civil or criminal cases whenever possible. The Board has no aggregate limits on the amount that may be paid on a lawyer's behalf. The maximum payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.

If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

III. GOALS AND OBJECTIVES

No major funding issues are anticipated this coming year. The Board has experienced members who continue to provide sound leadership. The Fund's principal goal is to provide as close to 100 percent payment to 100 percent of the valid claims, subject to the Board's maximum payment of \$150,000. Prompt resolution of claims following disciplinary or criminal proceedings is the other major goal for the Board every year.

| _ | |
|-----|--|
| / 1 | |
| / 1 | |
| / / | |
| / / | |
| 1 / | |
| | |
| | |
| | |
| 10 | |
| | |

Respectfully submitted,

Dated: ________, 2012.

RICHARD A. NÉTHERCUT, CHAIR MINNESOTA CLIENT SECURITY BOARD

Dated: <u>Suk 11</u>, 2012

MARTIN A. COLE, DIRECTOR

MINNESOTA CLIENT SECURITY BOARD

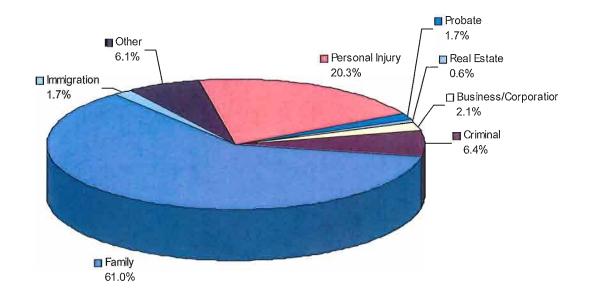
Dated: _______, 2012

JULIE É. BENNETT, ASSISTANT DIRECTOR MINNESOTA CLIENT SECURITY BOARD

Awards of Reimbursement - July 1, 2011 through June 30, 2012

This table summarizes, by area of law, all claims for reimbursement approved by the Board during fiscal year 2012.

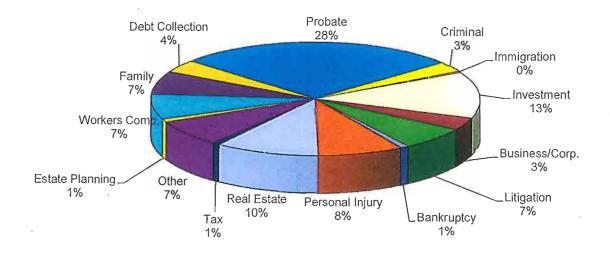
| Area of Law | Number of Awards | Amount of Awards |
|----------------------|------------------|-------------------------|
| Business/Corporation | 1 | \$2,500.00 |
| Criminal | 4 | \$7,562.00 |
| Family | 6 | \$71,699.95 |
| Immigration | 2 | \$2,035.00 |
| Other | 3 | \$7,200.00 |
| Personal Injury | 3 | \$23,911.05 |
| Probate | 1 | \$2,000.00 |
| Real Estate | 1 | \$712.00 |
| Total | 21 | \$117,620.00 |



Awards of Reimbursement - July 1, 1987 through June 30, 2012

This table summarizes, by area of law, all claims for reimbursement approved by the Board since 1987.

| Area of Law | Number of Awards | Amount of Awards |
|----------------------|------------------|-------------------------|
| Bankruptcy | 21 | \$54,482.30 |
| Business/Corporation | 14 | \$229,607.40 |
| Criminal | 45 | \$182,170.69 |
| Debt Collection | 38 | \$232,607.30 |
| Estate Planning | 3 . | \$51,909.03 |
| Family | 76 | \$428,679.56 |
| Immigration | 17 | \$28,035.00 |
| Investment | 15 | \$854,609.47 |
| Litigation | 43 | \$426,654.52 |
| Other | 71 | \$482,839.76 |
| Personal Injury | 38 | \$538,452.11 |
| Probate | 59 | \$1,786,702.27 |
| Real Estate | 42 | \$657,590.26 |
| Tax | 10 | \$46,212.28 |
| Workers Comp | 19 | \$467,614.70 |
| Total | 511 | \$6,468,166.65 |



CLIENT SECURITY FUND FINANCIAL HISTORY

| | | CEIENT SE | SECONI I FOND FINANCIAL | | INOIGH | | |
|-------------------|------------------------|----------------------|-------------------------|--------------------------|---------------------------|-------------------|---------------------|
| Fiscal Year | Contribution by Bar | Investment Income | Restitution | Number of Claims Paid | Amt. Paid to Claimants | Other Expenses | Balance Year End |
| 1997 | \$ 375,730 | \$ 94,547 | \$ 23,797 | 12 | \$ 103,073 | \$ 27,207 | \$1,887,084 |
| 1998 | \$ 255,762 | \$ 119,276 | \$ 25,217 | 35 | \$ 341,984 | \$ 40,481 | \$1,904,874 |
| 1999 | \$ 325,207 | \$ 118,078 | \$ 200,416 | 42 | \$ 413,231 | \$ 35,575 | \$2,099,769 |
| 2000 | \$ 328,746 | \$ 121,970 | \$ 45,783 | 23 | \$ 481,187 | \$ 50,814 | \$2,064,267 |
| 2001 | \$ 333,805 | \$ 134,507 | \$ 80,028 | 4 | \$ 99,073 | \$ 61,436 | \$2,452,098 |
| 2002 | \$ 342,067 | \$ 89,230 | \$ 75,961 | 38 | \$ 330,117 | \$ 58,390 | \$2,570,849 |
| 2003 | \$ 322,891 | \$ 61,824 | \$ 22,994 | 27 | \$ 140,272 | \$ 35,028 | \$2,803,258 |
| 2004 | \$ 252,034 | \$ 32,351 | \$ 87,177 | 31 | \$ 759,150 | \$ 68,486 | \$2,347,184 |
| 2005 | \$ 253,728 | \$ 53,390 | \$ 31,018 | 7 | \$ 195,025 | \$ 37,885 | \$2,452,410 |
| 2006 | \$ 255,894 | \$ 110,597 | \$ 87,217 | 17 | \$ 234,848 | \$ 44,375 | \$2,626,895 |
| 2007 | \$ 288,725 | \$ 148,689 | \$ 73,744 | 7 | \$ 135,417 | \$ 52,996 | \$2,949,637 |
| 2008 | \$ 320,403 | \$ 147,062 | \$ 135,226 | Ō | \$ 168,905 | \$ 63,134 | \$3,320,289 |
| 2009 | \$ 49,419 | \$ 90,905 | \$ 128,285 | 15 | \$ 120,656 | \$ 47,736 | \$3,420,506 |
| 2010 | \$ 15,976 | \$ 30,574 | \$ 68,558 | 4 | \$ 305,500 | \$ 46,854 | \$3,183,261 |
| 2011 | \$ 353,085 | \$ 18,996 | \$ 34,977 | 23 | \$ 58,156 | \$ 55,038 | \$3,477,125 |
| 2011 thru 4/30/12 | \$ 272,931 | \$ 13,746 | \$ 29,080 | ∞ | \$ 32,523 | \$ 30,040 | \$3,730,319 |
| | | | | | | | |

Appendix 2 A. 3

Minnesota Client Security Board Members 1987-2012

BOARD MEMBERS

| *Kathleen Clarke Anderson | Minneapolis | 2010-present |
|-----------------------------|----------------|--------------|
| *Sister Mary Madonna Ashton | St. Paul | 1992-1998 |
| Dana Banwer | Minneapolis | 2011-present |
| Bailey W. Blethen | Mankato | 1991-1997 |
| Daniel L. Bowles | Edina | 1994-2000 |
| *Sandra Brown | Minnetonka | 1990-1996 |
| Kim Buechel Mesun | St. Paul | 1993-1999 |
| Kenneth D. Butler | Duluth | 2008-present |
| Richard I. Diamond | Minnetonka | 1997-2003 |
| Gary G. Fuchs | Eagan | 2002-2008 |
| Gilbert W. Harries | Duluth | 1987-1991 |
| *Sheldyn M. Himle | Minneapolis | 2008-2010 |
| *Jean L. King | St. Paul | 1987-1992 |
| Timothy J. Kuntz | South St. Paul | 1996-2002 |
| Earle F. Kyle IV | Minneapolis | 1993-1996 |
| Robert T. Lund | Bloomington | 2003-2009 |
| *Beverly K. McKinnell | St. Paul | 1996-2002 |
| *Mary L. Medved | Shoreview | 2010-present |
| Richard A. Nethercut | Harmony | 2006-present |
| Timothy M. O'Brien | Minneapolis | 2007-present |
| Melvin I. Orenstein | Minneapolis | 1987-1993 |
| *Constance S. Otis | St. Paul | 1987-1990 |
| Paul C. Peterson | Minneapolis | 2009-present |
| *Judith A. Pinke | Minneapolis | 1998-2004 |
| Michael T. Rengel | Fergus Falls | 2001-2007 |
| *Bonnie R. Russ | St. Paul | 2004-2010 |
| Daniel L. Rust | Crookston | 1995-2001 |
| Warren R. Sagstuen | Minneapolis | 2000 |
| *Sally D. Sawyer | Minneapolis | 2002-2008 |
| Ronald B. Sieloff | St. Paul | 1987-1994 |
| Harriet J. Sims | Minneapolis | 2005-2011 |
| James B. Vessey | Minneapolis | 1987-1993 |
| Nancy L. Vollertsen | Rochester | 1987-1995 |
| John S. Watson | Minneapolis | 2000-2006 |
| Margaret L. Westin | Minneapolis | 1999-2005 |
| | - | |

^{*}Public Members

CSB Claims Paid by Attorney

| Respondent | Number of Claims | Amount Paid | Disciplinary Action | City |
|------------------------|---------------------|--------------|-------------------------------|----------------|
| Amdahl, Charles O. | 2 | \$10,000.00 | | Minneapolis |
| Anderson, Arne D. | 4 | \$4,950.00 | Suspended | Duluth |
| Anderson, Harold W. E. | 2 | \$39,258.97 | Disbarred | Grand Forks |
| Andrew, John M. | 2 | \$100,000.00 | Disbarred | Shoreview |
| Barta, Loren M. | 3 | \$8,947.93 | Suspended | Prior Lake |
| Batdorf, Richard K. | 1 | \$50,000.00 | Disbarred | Minneapolis |
| Benson, John T. | 1 | \$50,000.00 | Disbarred | St. Paul |
| Berg, James L. | 8 | \$73,529.03 | Disbarred | Minneapolis |
| Berndt, Deno W. | 1 | \$59,675.45 | Disbarred | Minneapolis |
| Bieter, Thomas | 2 | \$1,500.00 | Disability/Inactive | Duluth |
| Brehmer, David L. | 7 | \$7,656.25 | Disbarred | Bloomington |
| Brudvig, Thomas M. | 4 | \$3,365.00 | Suspended | Roseville |
| Campbell, Dyan L. | 5 | \$2,953.53 | Suspended | North St. Paul |
| Carpenter, Gregory A. | 1 | \$1,000.00 | Suspended | Minneapolis |
| Chacon, Jeanne T. | 1 | \$700.00 | Disbarred | Shakopee |
| Cohen, Sr., Edward M. | 1 | \$2,245.83 | Disbarred | St. Louis Park |
| Coleman, Richard J. | 1 | \$1,000.00 | Public Reprimand/Probation | West St. Paul |
| Crissey, Heidi | 1 | \$3,000.00 | Suspended | Stillwater |
| Danna, Anthony A. | 3 | \$81,625.00 | Disbarred | St. Paul |
| Davis, Daniel A. | 3 | \$44,486.66 | Disbarred | Edina |
| Day, Richard G. | 2 | \$1,000.00 | Suspended | Edina |
| DeRycke, Eric A. L. | 1 | \$2,000.00 | Disbarred | Lake Benton |
| Douglas, Bruce C. | 11 | \$225,309.60 | None | Edina |
| Dovolis, Helen A. | 16 | \$78,317.19 | Disbarred | Minneapolis |
| Dygert, Robert W. | 3 | \$70,491.00 | Disbarred | Minneapolis |
| Engwall, Gregory B. | 6 | \$474,262.18 | | Winthrop |
| Erickson, Bruce E. | 2 | \$1,995.00 | Suspended | Winona |
| Feldman, John H. | 2 | \$12,954.00 | Disbarred | Minneapolis |
| Flanagan, John J. | 6 | \$113,626.59 | Disbarred | St. Paul |

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|------------------------|-------------|--------------|-------------------------------|------------------|
| Flodine, Michael T. | 1 | \$3,000.00 | Suspended | St. Paul |
| Frants, Michael | 3 | \$29,827.72 | Disbarred | Minneapolis |
| French, Rodney M. | 6 | \$4,062.50 | Suspended | Minneapolis |
| Garcia, Albert A., Jr. | 9 | \$27,340.00 | Disbarred | Minneapolis |
| Getty, Paris DonRay | 5 | \$24,278.00 | Disbarred | St. Paul |
| Goldstein, Robert Mark | 4 | \$11,173.40 | Disbarred | St. Paul |
| Gomsrud, Richard G. | 1 | \$700.00 | Suspended | St. Paul |
| Graham, Timothy E. | 3 | \$6,257.98 | Disbarred | Rochester |
| Gronbeck, David | 1 | \$500.00 | Suspended | Minneapolis |
| Grzybek, John E. | 1 | \$750.00 | Disbarred | St. Paul |
| Gurstel, Norman K. | 33 | \$147,270.05 | Disbarred | Edina |
| Hanvik, James T. | 1 | \$264.00 | Suspended | Minneapolis |
| Harnois, Michael D. | 2 | \$1,999.00 | Disability/Inactive | Duluth |
| Harp, Reynaud L. | 2 | \$3,702.00 | Disbarred | St. Paul |
| Harris, Calandra Faye | 1 | \$600.00 | Suspended | Maple Grove |
| Heikens, Steven G. | 2 | \$12,800.00 | Suspended | Minneapolis |
| Heikkila, Neil D. | 2 | \$90,916.82 | Disbarred | Hopkins |
| Hendricksen, Harald F. | 2 | \$17,875.00 | Suspended | Annandale |
| Henke, David E. | 1 | \$1,000.00 | Suspended | Spring Lake Park |
| Hollender, R. Fred | 1 | \$2,227.74 | None | Minneapolis |
| Hoover, Michael J. | 1 | \$1,500.00 | Disability/Inactive | Minneapolis |
| Hunter, James W. | 5 | \$21,900.00 | Disbarred | Minneapolis |
| Isaacs, Clark F. | 1 | \$535.78 | Disbarred | St. Paul |
| Jellinger, Richard | 1 | \$1,000.00 | Disbarment (stayed) Suspended | Anoka |
| Johnson, Richard W. | 2 | \$9,362.00 | Disbarred | Red Wing |
| Johnson, Ronald J. | 1 | \$7,196.71 | Disbarred | Hopkins |
| Kadinger, George M. | 2 | \$1,500.00 | Suspended | St. Paul |
| Karlsen, Bent | 2 | \$4,200.00 | Suspended | Detroit Lakes |
| Kaszynski, William P. | 1 | \$550.00 | Disbarred | St. Paul |
| Kinnunen, Steven J. | 1 | \$500.00 | Suspended | Minneapolis |

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|-------------------------------|-------------|--------------|---------------------|----------------|
| Klane, Murray R. | 1 | \$94,647.88 | Disbarred | Minnetonka |
| LaChapelle, Arthur W. | 2 | \$18,400.00 | Disbarred | St. Paul |
| Ladd, William L. | 13 | \$49,542.60 | Disbarred | Minneapolis |
| Larsen, Dean D. | 1 | \$40,000.00 | Disbarred | Eden Prairie |
| Leino, Stanley J. | 6 | \$9,921.06 | Suspended | Scandia |
| Levenstein, Eli C. | 1 | \$368.00 | Suspended | Minneapolis |
| Logan, Diana S. | 3 | \$560.00 | Suspended | Minneapolis |
| Lundeen, Steven P. | 4 | \$3,774.00 | Disbarred | Minneapolis |
| Magadance, Pamela M. | 1 | \$585.00 | | St. Paul |
| Maresh, Thomas F. | 1 | \$6,500.00 | Disbarred | Eden Prairie |
| Marshall, Gary L. | 7 | \$24,170.00 | Disbarred | Hoffman |
| Martinez, Michael Lee | 1 | \$2,500.00 | Suspended | St. Paul |
| McCarthy, Justin H. | 2 | \$58,679.24 | Disbarred | St. Louis Park |
| McCormick, David L. | 3 | \$16,500.00 | Suspended | Minneapolis |
| McGrath, F. Patrick | 1 | \$1,128.00 | Suspended | St. Paul |
| McLean, Nathan K. | 9 | \$15,790.00 | Suspended | St. Paul |
| McNabb, Gerald | 13 | \$147,866.06 | Disbarred | St. Paul |
| Merlin, Carol Sue | 1 | \$500.00 | Suspended | Minneapolis |
| Meshbesher, Richard M. | 2 | \$5,500.00 | None | Minnetonka |
| Moe, Carlton E. | 1 | \$89,325.52 | Disbarred | West St. Paul |
| Montgomery Montez, Angela | 1 | \$3,950.00 | Suspended | Cottage Grove |
| Morgeson, Sr., Dennis John | 8 | \$547,922.67 | Disability | Edina |
| Mortensen, William C. | 2 | \$7,764.00 | Disability/Inactive | St. Louis Park |
| Mose, William G. | 2 | \$400.00 | Suspended | Bloomington |
| Mulvahill, James P. | 1 | \$15,000.00 | Disbarred | Wayzata |
| Murphy, Gerald W. | 9 | \$4,980.99 | Disbarred | Duluth |
| Nelson, John A. | 3 | \$245,024.03 | Disbarred | Willmar |
| Olsen, Lawrence E. | 1 | \$50,000.00 | Disbarred | Bloomington |
| Orlins, Peter I. | 11 | \$419,843.39 | Disbarred | Richfield |

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|------------------------------|-------------|--------------|---------------------|-----------------|
| Ornstein, Mitchell R. | 1 | \$919.75 | Suspended | Minneapolis |
| Ostfield, Benjamin J. | 3 | \$15,297.72 | Disbarred | Minneapolis |
| Ostroot, Timothy V. | 1 | \$1,200.00 | Disbarred | Champlin |
| Palm, Dennis Lee | 2 | \$4,080.00 | Disability/Inactive | Little Canada |
| Pang, Gary Y. | 3 | \$6,323.00 | Disbarred | Minneapolis |
| Pearson, Kenneth R. | 2 | \$39,000.00 | Disbarred | Golden Valley |
| Pegg, J. C. | 1 | \$2,500.00 | Reprimanded | Owatonna |
| Pierce, Brian T. | 1 | \$2,500.00 | Disbarred | Lakefield |
| Pitzele, Mark D. | 3 | \$16,419.84 | Disbarred | St. Louis Park |
| Ploetz, John W. | 2 | \$108,494.71 | Disbarred | St. Paul |
| Plowman, George E. | 4 | \$81,144.77 | Disbarred | Prior Lake |
| Polt, Thomas M. | 3 | \$17,082.02 | Disbarred | Eyota |
| Pucel, Cherylyn T. | 1 | \$3,500.00 | Suspended | Minneapolis |
| Pyles, David A. | 1 | \$16,450.00 | Suspended | Bloomington |
| Ramler, George C. | 1 | \$1,500.00 | Disbarred | Chanhassen |
| Randall, Michael H. | 2 | \$4,708.00 | Disbarred | Brooklyn Center |
| Rebeau, Gregory J. | 1 | \$3,911.50 | Suspended | St. Paul |
| Redburn, David Timothy | 2 | \$3,100.00 | Suspended | Brooklyn Park |
| Rhodes, Bradley C. | 2 | \$1,850.00 | Disbarred | Aitkin |
| Rhodes, Julia K. Satterlee | 3 | \$6,250.00 | Suspended | Hopkins |
| Rondestvedt, Stephen J. | 23 | \$841,339.46 | Disbarred | Minneapolis |
| Rothstein, Morry N. | 3 | \$7,500.00 | Disbarred | Minneapolis |
| Ruttger III, Max J. | 1 | \$25,678.15 | Disbarred | Brainerd |
| Rymanowski Jr., Joseph A. | 3 | \$5,600.00 | Disbarred | St. Paul |
| Samborski, Steve C. | 23 | \$231,829.50 | Disbarred | Minnetonka |
| Sampson, Mark A. | 20 | \$404,742.04 | Disbarred | Fridley |
| Schmitt, Martha G. | 1 | \$14,624.62 | Suspended | Minneapolis |
| Scott, John O. | 2 | \$57,821.34 | None | Perham |
| Seiler, Victor P. | 1 | \$2,810.77 | None | Minneapolis |
| Sheffey, Ralph E. | 1 | \$5,000.00 | Suspended | Rochester |
| Simonet, William B. | 5 | \$50,411.56 | Disbarred | Stillwater |

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|--|-------------|----------------|---------------------|----------------|
| Simonson, Paul L. | 1 | \$2,360.23 | Disbarred | Minneapolis |
| Singer, Michael G. | 1 | \$63,000.00 | Suspended | Minneapolis |
| Skonnord, James T. | 5 | \$2,349.26 | Suspended | St. Paul |
| Smith, Glenn L. | 3 | \$139,391.05 | Disbarred | Edina |
| Soderberg, James W. | 1 | \$557.87 | Suspended | Winona |
| Soronow, Steven F. | 18 | \$28,180.00 | Suspended | Minneapolis |
| Soronow, Steven F. and Weber, Deborah A. | 1 | \$1,300 | | Minneapolis |
| Stockman, William L. | 1 | \$25,000.00 | Disbarred | Duluth |
| Stottler, Travis D. | 1 | \$2,500.00 | Suspended | Lino Lakes |
| Strid, Dennis W. | 1 | \$1,197.00 | Suspended | Minneapolis |
| Sullivan, Kevin P. | 1 | \$200.00 | Suspended | Elk River |
| Swerine, Brian A. | 8 | \$23,645.40 | Disbarred | Brainerd |
| Swokowski, Jay G. | 4 | \$7,724.50 | Disbarred | Anoka |
| Thompson, Joel R. | 2 | \$6,160.00 | Suspended | Detroit Lakes |
| Ulstad, Bjorn | 1 | \$2,500.00 | None | St. Paul |
| Vaught, Samuel M. | 2 | \$85,685.71 | Suspended | St. Paul |
| Verbrick, Michael D. | 2 | \$5,000.00 | Disability | St. Paul |
| Vinitsky, Richard S. | 2 | \$20,000.00 | Disability | St. Paul |
| Walker Jr., Samuel | 5 | \$19,945.00 | Disbarred | St. Paul |
| Weems, Mark T. | 7 | \$70,901.64 | Disbarred | Shoreview |
| Weisberg, Robert Scott | 2 | \$9,848.52 | Suspended | St. Louis Park |
| Wheat, Donald A. | 3 | \$37,841.70 | Disbarred | Eagan |
| Wheat, Donald A. (2) | 1 | \$68,299.67 | Disbarred | Eagan |
| White, Thomas Joseph | 2 | \$13,400.00 | Disbarred | Minneapolis |
| Woroby, Maria Katherine | 2 | \$2,035.00 | Disability/Inactive | St. Paul |
| Wyant, Bruce P. | | | Disbarred | see Morgeson |
| Wylde, John R. | 1 | \$3,775.00 | None | Minneapolis |
| Total Respondents 146 | 511 | \$6,468,166.65 | | |