

**ANNUAL REPORT
OF THE
MINNESOTA
CLIENT SECURITY BOARD**

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July 2011

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I. YEAR IN REVIEW

Rule 1.10, Minnesota Rules of the Client Security Board (MRCSB), provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the fund, its operation, its assets and liabilities.

This twenty-fourth annual report of the Minnesota Client Security Board covers the Board’s fiscal year, FY2011, which began July 1, 2010, and ended on June 30, 2011.

The Client Security Board approved twenty-two (22) claims this year, in the total amount of \$57,555.69 (*see* Appendix 1, A. 1-2).¹

Thirty-nine (39) new claims were filed this past year. Thirty-four (34) claims were carried-over from the previous year. In addition to the twenty-two (22) claims which were approved for payment, fourteen (14) claims were denied and no claims were withdrawn. At the end of June 2011, thirty-seven (37) claims against nineteen (19) different lawyers remain pending before the Board. In some instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after twenty-four years of paying claims, the Board has now paid \$6,350,546.65 on 490 claims against 139 lawyers (*see* Appendix 4, A. 5-9).

The Board recommended and the Court approved the reinstatement of the Board’s portion of the attorney registration fee for FY2011. The Fund continues to

¹

| | | |
|----------------------|----------|--------------|
| Michael Frantz | 1 claim | \$ 6,666.67 |
| Albert A. Garcia, Jr | 8 claims | \$ 24,840.00 |
| Michael D. Harnois | 2 claims | \$ 1,999.00 |
| Bent Karlsen | 1 claim | \$ 1,200.00 |
| Nathan K. McLean | 7 claims | \$ 9,090.00 |
| Gregory J. Rebeau | 1 claim | \$ 3,911.50 |
| Robert Weisberg | 2 claims | \$ 9,848.52 |

be fiscally healthy. The Board also launched its new Web site in the last year. The new Web site is much more user friendly and aesthetically pleasing.

II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

Board Members. As of June 30, 2011, the following individuals served on the Board (*see* Appendix 3; A. 4):

| <u>Name</u> | <u>City</u> | <u>Term Expires</u> |
|--------------------------|-------------|-----------------------------|
| Harriet J. Sims, Chair | Minneapolis | June 30, 2011 (second term) |
| Kathleen Clarke Anderson | Minneapolis | June 30, 2014 (first term) |
| Kenneth D. Butler | Duluth | June 30, 2014 (second term) |
| Mary L. Medved | Shoreview | June 30, 2013 (first term) |
| Richard A. Nethercut | Harmony | June 30, 2012 (second term) |
| Timothy O'Brien | Minneapolis | June 30, 2013 (second term) |
| Paul C. Peterson | Minneapolis | June 30, 2012 (first term) |

Harriet Sims, an attorney with the Minnesota Department of Revenue, served as the Board's Chair for the past year. Ms. Sims has now completed her second term and will be leaving the Board. Ms. Sims' thoughtful and thorough review of claims made her a great steward of the client protection fund.

Kathleen Clarke Anderson completed the term vacated by Sheldyn Himle and was appointed to her first full term by the Court. The Court also appointed Kenneth D. Butler to serve his second term on the Board.

The Minnesota State Bar Association ("MSBA") nominates three of the five attorney members; currently Ms. Sims, Mr. Nethercut and Mr. O'Brien are the MSBA nominees. The MSBA nominated and the court approved attorney Dana Banwer as the MSBA replacement for the departing Ms. Sims. Ms. Medved and Ms. Anderson are the Board's current public members. The Board's liaison justice from the Supreme Court is Justice Christopher Dietzen.

Funding and Budget Procedures. With the resumption of collection of the Fund's portion of the lawyer registration fee, all active lawyers in Minnesota pay

\$12 per year to support the Fund. In 1998, the Supreme Court recommended informal parameters for the Fund of \$1.5-million and \$2.5-million, with the Board to report to the Court if the Fund drops below or exceeds these figures. The Board projects fiscal year-end balances of \$3.3-million in June 2011 and \$3.5-million at the end of June 2012. This is above the Court's recommended parameters and has been reported to the Court.

The Board does not directly handle any funds nor the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated approximately \$262,400. The Board also received approximately \$19,300 in interest income and approximately \$38,400 in restitution payments from lawyers on whose behalf claims have been paid.

The Board anticipates total income from all sources this coming year of approximately \$386,969. The Board has budgeted \$235,291 in total expenditures for next year. Based upon the information presently available to the Board concerning pending claims or known potential claims, the Board budgeted \$171,257 for claim payment next year. Just over \$64,000 has been budgeted for all non-claim expenses, including staff services, supplies, additional regular enhancements to the Board's computer database and attendance by two people at national conferences. In most years, the Board has in fact been able to spend less than budgeted for all non-claim payment items in its budget. (Appendix 2, A. 3.)

Administration. The Office of Lawyers Professional Responsibility (OLPR) provides staff services to the Client Security Board. Martin Cole has served as the Director of Client Security Board since April 2006. Prior to his appointment as Director, Mr. Cole served as Assistant Director to the Client Security Board since its creation in 1986. Assistant Director, Julie E. Bennett, along with paralegal, Tricia

Jorgensen, handle the day-to-day operations including contact with respondents and claimants while investigating claims.

Following each meeting, the Board issues a press release regarding claims paid pursuant to the Board's policy. The press releases are also posted on the Board's Web site in order to provide public access about the Board's activities. The Web site also contains answers to frequently asked questions (FAQ), the Board's rules, claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-9). The Web site also has links to articles written about the Client Security Board.²

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board has paid claims, or against any third persons from whom payments may be legally obtained. Assistant Attorneys General, Angela Behrens, Jackson Evans, and Kelly Kemp represent the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection efforts and litigation. The Attorney General also assists the Board in being listed as a victim in criminal restitution orders where appropriate.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are now referred to MDOR to pursue. The referrals to MDOR are now made electronically, thereby, allowing referrals to

² The Client Security Board Web site address is: <http://csb.mncourts.gov>.

be made more quickly and decreasing the amount of paperwork necessary for a referral.

Claims Procedure. Claims are initiated by the submission of a claim to the Director's Office on forms approved by the Board. Claimants are provided the forms and a brochure to help explain the process. The claim form, brochure and copies of the Board's rules are also available via the Board's Web site.

The respondent attorney is provided an opportunity to respond in writing, although frequently no response is received. The Board also has access to all lawyer disciplinary files, from which considerable information can be obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board occasionally exercises its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights.

Generally, attorney disciplinary proceedings against the responding attorney will have been completed before any Client Security payment is made. The Board generally relies upon findings made in related lawyer disciplinary action concerning misappropriation, or in related civil or criminal cases whenever possible. The Board has no aggregate limits on the amount that may be paid on a lawyer's behalf. The maximum payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.

If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

III. GOALS AND OBJECTIVES

No major funding issues are anticipated this coming year. The Board has experienced members who continue to provide sound leadership. The Fund's principal goal, as always, is to provide as close to 100 percent payment to 100 percent of the valid claims, subject to the Board's maximum payment of \$150,000. Prompt resolution of claims following disciplinary or criminal proceedings is the other major goal for the Board every year.

Respectfully submitted,

Dated: July 5, 2011.



HARRIET J. SIMS, CHAIR
MINNESOTA CLIENT SECURITY BOARD

Dated: July 7 2011.



MARTIN A. COLE, DIRECTOR
MINNESOTA CLIENT SECURITY BOARD

Dated: July 7 2011.

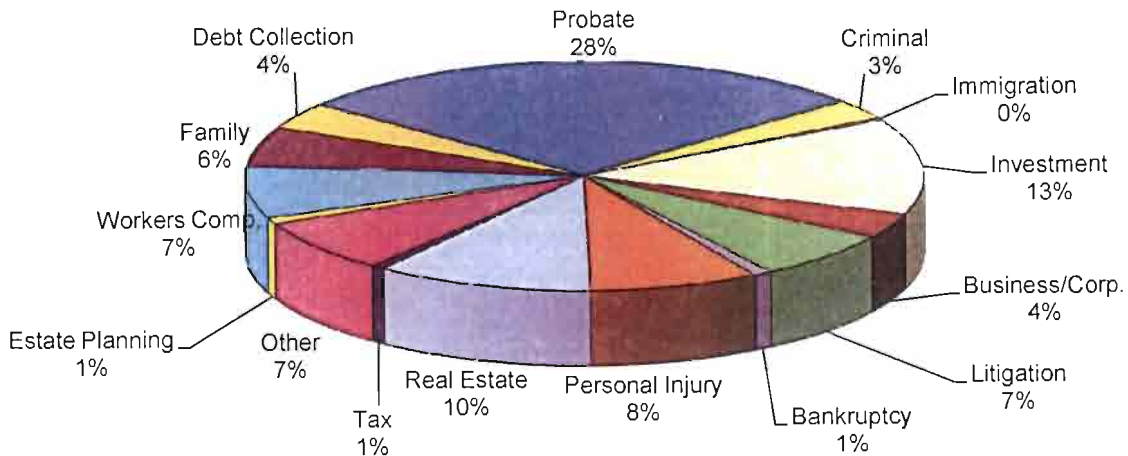


JULIE E. BENNETT, ASSISTANT DIRECTOR
MINNESOTA CLIENT SECURITY BOARD

**Awards of Reimbursement - July 1, 1987
through June 30, 2011**

This table summarizes, by area of law, all claims for reimbursement approved by the Board since 1987.

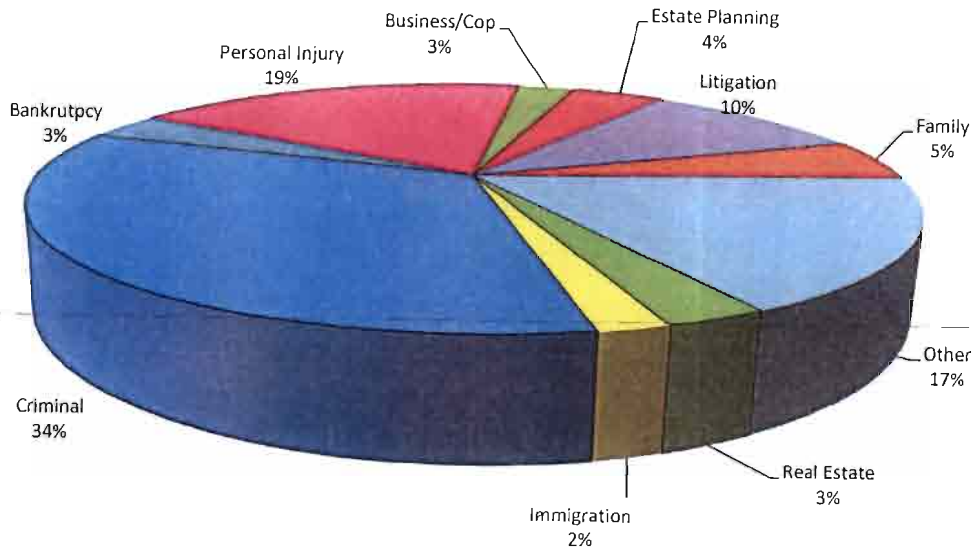
| Area of Law | Number of Awards | Amount of Awards |
|----------------------|------------------|-----------------------|
| Bankruptcy | 21 | \$54,482.30 |
| Business/Corporation | 13 | \$227,107.40 |
| Criminal | 41 | \$174,608.69 |
| Debt Collection | 38 | \$232,607.30 |
| Estate Planning | 3 | \$51,909.03 |
| Family | 70 | \$356,979.61 |
| Immigration | 15 | \$26,000.00 |
| Investment | 15 | \$854,609.47 |
| Litigation | 43 | \$426,654.52 |
| Other | 68 | \$475,639.76 |
| Personal Injury | 35 | \$514,541.06 |
| Probate | 58 | \$1,784,702.27 |
| Real Estate | 41 | \$656,878.26 |
| Tax | 10 | \$46,212.28 |
| Workers Comp | 19 | \$467,614.70 |
| Total | 490 | \$6,350,546.65 |



**Awards of Reimbursement - July 1, 2010
through June 30, 2011**

**This table summarizes, by area of law, all claims for reimbursement
approved by the Board during fiscal year 2011.**

| Area of Law | Number of Awards | Amount of Awards |
|----------------------|------------------|--------------------|
| Bankruptcy | 2 | \$1,999.00 |
| Business/Corporation | 1 | \$1,500.00 |
| Criminal | 7 | \$19,340.00 |
| Estate Planning | 1 | \$2,500.00 |
| Family | 3 | \$2,940.00 |
| Immigration | 1 | \$1,200.00 |
| Litigation | 1 | \$5,870.18 |
| Other | 3 | \$9,861.50 |
| Personal Injury | 2 | \$10,645.01 |
| Real Estate | 1 | \$1,700.00 |
| Total | 22 | \$57,555.69 |



CLIENT SECURITY FUND FINANCIAL HISTORY

| Fiscal Year | Contribution by Bar | Investment Income | Restitution | Number of Claims Paid | Amt. Paid to Claimants | Other Expenses | Balance Year End |
|-------------------|---------------------|-------------------|-------------|-----------------------|------------------------|----------------|------------------|
| 1996 | \$ 368,450 | \$ 82,630 | \$ 31,361 | 22 | \$ 705,524 | \$ 35,427 | \$1,523,290 |
| 1997 | \$ 375,730 | \$ 94,547 | \$ 23,797 | 12 | \$ 103,073 | \$ 27,207 | \$1,887,084 |
| 1998 | \$ 255,762 | \$ 119,276 | \$ 25,217 | 35 | \$ 341,984 | \$ 40,481 | \$1,904,874 |
| 1999 | \$ 325,207 | \$ 118,078 | \$ 200,416 | 42 | \$ 413,231 | \$ 35,575 | \$2,099,769 |
| 2000 | \$ 328,746 | \$ 121,970 | \$ 45,783 | 23 | \$ 481,187 | \$ 50,814 | \$2,064,267 |
| 2001 | \$ 333,805 | \$ 134,507 | \$ 80,028 | 14 | \$ 99,073 | \$ 61,436 | \$2,452,098 |
| 2002 | \$ 342,067 | \$ 89,230 | \$ 75,961 | 38 | \$ 330,117 | \$ 58,390 | \$2,570,849 |
| 2003 | \$ 322,891 | \$ 61,824 | \$ 22,994 | 27 | \$ 140,272 | \$ 35,028 | \$2,803,258 |
| 2004 | \$ 252,034 | \$ 32,351 | \$ 87,177 | 31 | \$ 759,150 | \$ 68,486 | \$2,347,184 |
| 2005 | \$ 253,728 | \$ 53,390 | \$ 31,018 | 7 | \$ 195,025 | \$ 37,885 | \$2,452,410 |
| 2006 | \$ 255,894 | \$ 110,597 | \$ 87,217 | 17 | \$ 234,848 | \$ 44,375 | \$2,626,895 |
| 2007 | \$ 288,725 | \$ 148,689 | \$ 73,744 | 2 | \$ 135,417 | \$ 52,996 | \$2,949,637 |
| 2008 | \$ 320,403 | \$ 147,062 | \$ 135,226 | 9 | \$ 168,905 | \$ 63,134 | \$3,320,289 |
| 2009 | \$ 49,419 | \$ 90,905 | \$ 128,285 | 15 | \$ 120,656 | \$ 47,736 | \$3,420,506 |
| 2010 | \$ 15,976 | \$ 30,574 | \$ 68,558 | 4 | \$ 305,500 | \$ 46,854 | \$3,183,261 |
| 2011 thru 4/30/11 | \$ 248,284 | \$ 14,521 | \$ 32,478 | 10 | \$ 32,155 | \$ 24,840 | \$3,421,549 |

Minnesota Client Security Board Members 1987-2011

BOARD MEMBERS

| | | |
|-----------------------------|----------------|--------------|
| *Kathleen Clarke Anderson | Minneapolis | 2010-present |
| *Sister Mary Madonna Ashton | St. Paul | 1992-1998 |
| Bailey W. Blethen | Mankato | 1991-1997 |
| Daniel L. Bowles | Edina | 1994-2000 |
| *Sandra Brown | Minnetonka | 1990-1996 |
| Kim Buechel Mesun | St. Paul | 1993-1999 |
| Kenneth D. Butler | Duluth | 2008-present |
| Richard I. Diamond | Minnetonka | 1997-2003 |
| Gary G. Fuchs | Eagan | 2002-2008 |
| Gilbert W. Harries | Duluth | 1987-1991 |
| *Sheldyn M. Himle | Minneapolis | 2008-2010 |
| *Jean L. King | St. Paul | 1987-1992 |
| Timothy J. Kuntz | South St. Paul | 1996-2002 |
| Earle F. Kyle IV | Minneapolis | 1993-1996 |
| Robert T. Lund | Bloomington | 2003-2009 |
| *Beverly K. McKinnell | St. Paul | 1996-2002 |
| *Mary L. Medved | Shoreview | 2010-present |
| Richard A. Nethercut | Harmony | 2006-present |
| Timothy M. O'Brien | Minneapolis | 2007-present |
| Melvin I. Orenstein | Minneapolis | 1987-1993 |
| *Constance S. Otis | St. Paul | 1987-1990 |
| Paul C. Peterson | Minneapolis | 2009-present |
| *Judith A. Pinke | Minneapolis | 1998-2004 |
| Michael T. Rengel | Fergus Falls | 2001-2007 |
| *Bonnie R. Russ | St. Paul | 2004 -2010 |
| Daniel L. Rust | Crookston | 1995-2001 |
| Warren R. Sagstuen | Minneapolis | 2000 |
| *Sally D. Sawyer | Minneapolis | 2002-2008 |
| Ronald B. Sieloff | St. Paul | 1987-1994 |
| Harriet J. Sims | Minneapolis | 2005-2011 |
| James B. Vessey | Minneapolis | 1987-1993 |
| Nancy L. Vollertsen | Rochester | 1987-1995 |
| John S. Watson | Minneapolis | 2000-2006 |
| Margaret L. Westin | Minneapolis | 1999-2005 |

*Public Members

CSB Claims Paid by Attorney

| Respondent | Number of Claims | Amount Paid | Disciplinary Action | City |
|------------------------|------------------|--------------|----------------------------|----------------|
| Amdahl, Charles O. | 2 | \$10,000.00 | | Minneapolis |
| Anderson, Arne D. | 4 | \$4,950.00 | Suspended | Duluth |
| Anderson, Harold W. E. | 2 | \$39,258.97 | Disbarred | Grand Forks |
| Andrew, John M. | 2 | \$100,000.00 | Disbarred | Shoreview |
| Barta, Loren M. | 3 | \$8,947.93 | Suspended | Prior Lake |
| Batdorf, Richard K. | 1 | \$50,000.00 | Disbarred | Minneapolis |
| Benson, John T. | 1 | \$50,000.00 | Disbarred | St. Paul |
| Berg, James L. | 8 | \$73,529.03 | Disbarred | Minneapolis |
| Bieter, Thomas | 2 | \$1,500.00 | Disability/Inactive | Duluth |
| Brehmer, David L. | 7 | \$7,656.25 | Disbarred | Bloomington |
| Brudvig, Thomas M. | 4 | \$3,374.00 | Suspended | Roseville |
| Campbell, Dyan L. | 5 | \$2,953.53 | Suspended | North St. Paul |
| Carpenter, Gregory A. | 1 | \$1,000.00 | Suspended | Minneapolis |
| Chacon, Jeanne T. | 1 | \$700.00 | Disbarred | Shakopee |
| Cohen, Sr., Edward M. | 1 | \$2,245.83 | Disbarred | St. Louis Park |
| Coleman, Richard J. | 1 | \$1,000.00 | Public Reprimand/Probation | West St. Paul |
| Crissey, Heidi | 1 | \$3,000.00 | Suspended | Stillwater |
| Danna, Anthony A. | 3 | \$81,625.00 | Disbarred | St. Paul |
| Davis, Daniel A. | 3 | \$44,486.66 | Disbarred | Edina |
| Day, Richard G. | 2 | \$1,000.00 | Suspended | Edina |
| DeRycke, Eric A. L. | 1 | \$2,000.00 | Disbarred | Lake Benton |
| Douglas, Bruce C. | 11 | \$225,309.60 | None | Edina |
| Dovolis, Helen A. | 16 | \$78,317.19 | Disbarred | Minneapolis |
| Dygert, Robert W. | 3 | \$70,491.00 | Disbarred | Minneapolis |
| Engwall, Gregory B. | 6 | \$474,262.18 | | Winthrop |
| Erickson, Bruce E. | 2 | \$1,995.00 | Suspended | Winona |
| Feldman, John H. | 2 | \$12,954.00 | Disbarred | Minneapolis |
| Flanagan, John J. | 6 | \$113,626.59 | Disbarred | St. Paul |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|------------------------|-------------|--------------|----------------------------------|------------------|
| Flodine, Michael T. | 1 | \$3,000.00 | Suspended | St. Paul |
| Frants, Michael | 1 | \$6,666.67 | Disbarred | Minneapolis |
| French, Rodney M. | 6 | \$4,062.50 | Suspended | Minneapolis |
| Garcia, Albert A., Jr. | 8 | \$24,840.00 | Disbarred | Minneapolis |
| Getty, Paris DonRay | 5 | \$24,278.00 | Disbarred | St. Paul |
| Goldstein, Robert Mark | 4 | \$11,173.40 | Disbarred | St. Paul |
| Gomsrud, Richard G. | 1 | \$700.00 | Suspended | St. Paul |
| Graham, Timothy E. | 3 | \$6,257.98 | Disbarred | Rochester |
| Gronbeck, David | 1 | \$500.00 | Suspended | Minneapolis |
| Grzybek, John E. | 1 | \$750.00 | Disbarred | St. Paul |
| Gurstel, Norman K. | 33 | \$147,270.05 | Disbarred | Edina |
| Hanvik, James T. | 1 | \$264.00 | Suspended | Minneapolis |
| Harp, Reynaud L. | 2 | \$3,702.00 | Disbarred | St. Paul |
| Harris, Calandra Faye | 1 | \$600.00 | Suspended | Maple Grove |
| Heikens, Steven G. | 2 | \$12,800.00 | Suspended | Minneapolis |
| Heikkila, Neil D. | 2 | \$90,916.82 | Disbarred | Hopkins |
| Hendricksen, Harald F. | 2 | \$17,875.00 | Suspended | Annandale |
| Henke, David E. | 1 | \$1,000.00 | Suspended | Spring Lake Park |
| Hollender, R. Fred | 1 | \$2,227.74 | None | Minneapolis |
| Hoover, Michael J. | 1 | \$1,500.00 | Disability/Inactive | Minneapolis |
| Hunter, James W. | 5 | \$21,900.00 | Disbarred | Minneapolis |
| Isaacs, Clark F. | 1 | \$535.78 | Disbarred | St. Paul |
| Jellinger, Richard | 1 | \$1,000.00 | Disbarment (stayed) Suspended | Anoka |
| Johnson, Richard W. | 2 | \$9,362.00 | Disbarred | Red Wing |
| Johnson, Ronald J. | 1 | \$7,196.71 | Disbarred | Hopkins |
| Kadinger, George M. | 2 | \$1,500.00 | Suspended | St. Paul |
| Karlsen, Bent | 2 | \$4,200.00 | Suspended | Detroit Lakes |
| Kaszynski, William P. | 1 | \$550.00 | Disbarred | St. Paul |
| Kinnunen, Steven J. | 1 | \$500.00 | Suspended | Minneapolis |
| Klane, Murray R. | 1 | \$94,647.88 | Disbarred | Minnetonka |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|-------------------------------|-------------|--------------|---------------------|----------------|
| LaChapelle, Arthur W. | 2 | \$18,400.00 | Disbarred | St. Paul |
| Ladd, William L. | 13 | \$49,542.60 | Disbarred | Minneapolis |
| Larsen, Dean D. | 1 | \$40,000.00 | Disbarred | Eden Prairie |
| Leino, Stanley J. | 6 | \$9,921.06 | Suspended | Scandia |
| Levenstein, Eli C. | 1 | \$368.00 | Suspended | Minneapolis |
| Logan, Diana S. | 3 | \$560.00 | Suspended | Minneapolis |
| Magadance, Pamela M. | 1 | \$585.00 | | St. Paul |
| Maresh, Thomas F. | 1 | \$6,500.00 | Disbarred | Eden Prairie |
| Marshall, Gary L. | 7 | \$24,170.00 | Disbarred | Hoffman |
| Martinez, Michael Lee | 1 | \$2,500.00 | Suspended | St. Paul |
| McCarthy, Justin H. | 2 | \$58,679.24 | Disbarred | St. Louis Park |
| McCormick, David L. | 3 | \$16,500.00 | Suspended | Minneapolis |
| McGrath, F. Patrick | 1 | \$1,128.00 | Suspended | St. Paul |
| McLean, Nathan K. | 7 | \$9,090.00 | Suspended | St. Paul |
| McNabb, Gerald | 13 | \$147,866.06 | Disbarred | St. Paul |
| Merlin, Carol Sue | 1 | \$500.00 | Suspended | Minneapolis |
| Meshbeshier, Richard M. | 2 | \$5,500.00 | None | Minnetonka |
| Moe, Carlton E. | 1 | \$89,325.52 | Disbarred | West St. Paul |
| Morgeson, Sr., Dennis John | 8 | \$547,922.67 | Disability | Edina |
| Mortensen, William C. | 2 | \$7,764.00 | Disability/Inactive | St. Louis Park |
| Mose, William G. | 2 | \$400.00 | Suspended | Bloomington |
| Mulvahill, James P. | 1 | \$15,000.00 | Disbarred | Wayzata |
| Murphy, Gerald W. | 9 | \$4,980.99 | Disbarred | Duluth |
| Nelson, John A. | 3 | \$245,024.03 | Disbarred | Willmar |
| Olsen, Lawrence E. | 1 | \$50,000.00 | Disbarred | Bloomington |
| Orlins, Peter I. | 11 | \$419,843.39 | Disbarred | Richfield |
| Ornstein, Mitchell R. | 1 | \$919.75 | Suspended | Minneapolis |
| Ostfield, Benjamin J. | 3 | \$15,297.72 | Disbarred | Minneapolis |
| Ostroot, Timothy V. | 1 | \$1,200.00 | Disbarred | Champlin |
| Palm, Dennis Lee | 2 | \$4,080.00 | Disability/Inactive | Little Canada |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|----------------------------|-------------|--------------|---------------------|-----------------|
| Pang, Gary Y. | 3 | \$6,323.00 | Disbarred | Minneapolis |
| Pearson, Kenneth R. | 2 | \$39,000.00 | Disbarred | Golden Valley |
| Pegg, J. C. | 1 | \$2,500.00 | Reprimanded | Owatonna |
| Pierce, Brian T. | 1 | \$2,500.00 | Disbarred | Lakefield |
| Pitzele, Mark D. | 3 | \$16,419.84 | Disbarred | St. Louis Park |
| Ploetz, John W. | 2 | \$108,494.71 | Disbarred | St. Paul |
| Plowman, George E. | 4 | \$81,144.77 | Disbarred | Prior Lake |
| Polt, Thomas M. | 3 | \$17,082.02 | Disbarred | Eyota |
| Pucel, Cherylyn T. | 1 | \$3,500.00 | Suspended | Minneapolis |
| Pyles, David A. | 1 | \$16,450.00 | Suspended | Bloomington |
| Ramler, George C. | 1 | \$1,500.00 | Disbarred | Chanhassen |
| Randall, Michael H. | 2 | \$4,708.00 | Disbarred | Brooklyn Center |
| Rebeau, Gregory J. | 1 | \$3,911.50 | Suspended | St. Paul |
| Redburn, David Timothy | 2 | \$3,100.00 | Suspended | Brooklyn Park |
| Rhodes, Bradley C. | 2 | \$1,850.00 | Disbarred | Aitkin |
| Rhodes, Julia K. Satterlee | 3 | \$6,250.00 | Suspended | Hopkins |
| Rondestvedt, Stephen J. | 23 | \$841,339.46 | Disbarred | Minneapolis |
| Rothstein, Morry N. | 3 | \$7,500.00 | Disbarred | Minneapolis |
| Ruttger III, Max J. | 1 | \$25,678.15 | Disbarred | Brainerd |
| Samborski, Steve C. | 23 | \$231,829.50 | Disbarred | Minnnetonka |
| Sampson, Mark A. | 20 | \$404,742.04 | Disbarred | Fridley |
| Schmitt, Martha G. | 1 | \$14,624.62 | Suspended | Minneapolis |
| Scott, John O. | 2 | \$57,821.34 | None | Perham |
| Seiler, Victor P. | 1 | \$2,810.77 | None | Minneapolis |
| Sheffey, Ralph E. | 1 | \$5,000.00 | Suspended | Rochester |
| Simonet, William B. | 5 | \$50,411.56 | Disbarred | Stillwater |
| Simonson, Paul L. | 1 | \$2,360.23 | Disbarred | Minneapolis |
| Singer, Michael G. | 1 | \$63,000.00 | Suspended | Minneapolis |
| Skonnord, James T. | 5 | \$2,349.26 | Suspended | St. Paul |
| Smith, Glenn L. | 3 | \$139,391.05 | Disbarred | Edina |
| Soderberg, James W. | 1 | \$557.87 | Suspended | Winona |

CSB Claims Paid by Attorney (Cont'd.)

| Respondent | # of Claims | Amount Paid | Disciplinary Action | City |
|--|-------------|------------------------|---------------------|---------------------|
| Soronow, Steven F. | 18 | \$28,180.00 | Suspended | Minneapolis |
| Soronow, Steven F. and Weber, Deborah A. | 1 | \$1,300 | | Minneapolis |
| Stockman, William L. | 1 | \$25,000.00 | Disbarred | Duluth |
| Strid, Dennis W. | 1 | \$1,197.00 | Suspended | Minneapolis |
| Sullivan, Kevin P. | 1 | \$200.00 | Suspended | Elk River |
| Swerine, Brian A. | 8 | \$23,645.40 | Disbarred | Brainerd |
| Thompson, Joel R. | 2 | \$6,160.00 | Suspended | Detroit Lakes |
| Ulstad, Bjorn | 1 | \$2,500.00 | None | St. Paul |
| Vaught, Samuel M. | 2 | \$85,685.71 | Suspended | St. Paul |
| Verbrick, Michael D. | 2 | \$5,000.00 | Disability | St. Paul |
| Vinitzky, Richard S. | 2 | \$20,000.00 | Disability | St. Paul |
| Walker Jr., Samuel | 5 | \$19,945.00 | Disbarred | St. Paul |
| Weems, Mark T. | 7 | \$70,901.64 | Disbarred | Shoreview |
| Weisberg, Robert Scott | 2 | \$9,848.52 | Suspended | St. Louis Park |
| Wheat, Donald A. | 3 | \$37,841.70 | Disbarred | Eagan |
| Wheat, Donald A. (2) | 1 | \$68,299.67 | Disbarred | Eagan |
| White, Thomas Joseph | 2 | \$13,400.00 | Disbarred | Minneapolis |
| Wyant, Bruce P. | | | Disbarred | <i>see Morgeson</i> |
| Wylde, John R. | 1 | \$3,775.00 | None | Minneapolis |
| Total Respondents 139 | 490 | \$6,350, 546.65 | | |